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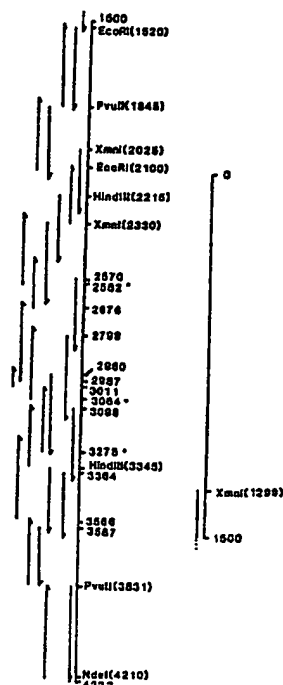
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification<sup>4</sup> : C12N 15/00, C12P 11/00 C12N 1/00</p>	<p>A1</p>	<p>(11) International Publication Number: WO 86/ 01536 (43) International Publication Date: 13 March 1986 (13.03.86)</p>
<p>(21) International Application Number: PCT/US85/01665 (22) International Filing Date: 30 August 1985 (30.08.85) (31) Priority Application Number: 646,690 (32) Priority Date: 31 August 1984 (31.08.84) (33) Priority Country: US (71) Applicant: WASHINGTON RESEARCH FOUNDATION [US/US]; 1107 North East 45th Street, Suite 322, Seattle, WA 98105 (US). (72) Inventors: WHITELEY, Helen, Riaboff ; 13244 40th Avenue, Northeast, Seattle, WA 98125 (US). SCHNEPF, Harry, Ernest ; 4033 Eastern Avenue, North, Seattle, WA 98103 (US).</p>		<p>(74) Agents: WATT, Phillip, H. et al.; Fitch, Even, Tabin &amp; Flannery, Room 900, 135 South LaSalle Street, Chicago, IL 60603 (US). (81) Designated States: AT (European patent), AU, BE (European patent), BR, CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).  Published With international search report.</p>

(54) Title: *BACILLUS THURINGIENSIS* CRYSTAL PROTEIN GENE TOXIN SEGMENT

(57) Abstract

A DNA fragment that codes for the portion of *Bacillus thuringiensis* crystal protein peptide that is toxic to lepidopteran insects. The invention also comprises the DNA and amino acid sequences for the disclosed toxin-encoding DNA fragment. In addition the invention demonstrates that the disclosed toxin-encoding DNA fragment (referred to herein as the *Bacillus thuringiensis* crystal protein gene toxin segment) is expressible in recombinant host organisms, and that the 'toxin' protein product produced by the transformed hosts is toxic to lepidopteran insects.



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BACILLUS THURINGIENSIS CRYSTALPROTEIN GENE TOXIN SEGMENTField of the Invention

This invention relates generally to DNA  
5 sequences capable of being expressed in genetically  
engineered host organisms. More particularly, this  
invention relates to an expressible DNA fragment coding  
for the toxin portion of Bacillus thuringiensis crystal  
protein.

10 Background of the Invention

As is well known, Bacillus thuringiensis  
crystal protein is toxic to the larvae of a number of  
lepidopteran insects. As a result preparations  
containing Bacillus thuringiensis crystals are used  
15 commercially as a highly selective biological  
insecticide. Unfortunately, relatively high  
manufacturing costs and problems connected with the use  
of the crystals have made it difficult for such  
insecticides to compete effectively with other  
20 commercially available products.

Wild-type Bacillus thuringiensis produce  
crystal protein only during sporulation. Such a growth  
phase limitation, particularly in an industrial  
process, can result in inconvenience and excessive time  
25 requirements during manufacture. This of course  
increases the costs of the final crystal protein  
insecticide product.

To overcome the growth phase limitations of  
wild-type Bacillus thuringiensis, U.S. Patents  
30 4,448,885 and 4,467,036, issued May 15, 1984 and August  
21, 1984, respectively, to Schnepf and Whiteley,  
disclose expression of Bacillus thuringiensis crystal  
protein by use of novel recombinant plasmids containing  
expressible heterologous DNA coding for crystal protein  
35 protoxin polypeptide. The Schnepf/Whiteley patents

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also disclose that genetically engineered bacterial host strains, transformed by the novel recombinant plasmids, express Bacillus thuringiensis crystal protein protoxin polypeptide. Such genetically engineered bacterial host strains express Bacillus thuringiensis crystal protein protoxin polypeptide at all stages of growth.

It is now known that in the Bacillus thuringiensis subspecies that synthesize lepidopteran toxins, the crystal protein crystal is composed of one or more protoxin polypeptides of Mr=135,000 to 160,000 (Calabrese, et al., 1980; Tyrell, et al., 1981). Upon dissolution and proteolytic degradation of crystals in vitro and presumably also in the insect midgut, each protoxin molecule yields a toxin peptide of Mr=55,000-72,000 (Bulla, et al., 1979; Lilley, et al., 1980; Chestukhina, et al., 1982).

Since the crystal protein protoxin polypeptides are approximately twice the size of the toxic fragments, insecticidal preparations containing the protoxin crystals could be made to be twice as effective per given dose (or equally as effective at half the dose) if they contained the smaller toxin fragment rather than the larger protoxin polypeptide. In addition, if genetically engineered host strains could be transformed to express the toxin fragment rather than the protoxin polypeptide, the output of the host could be increased and possibly even doubled. To that end it would be useful to identify the specific segment of a Bacillus thuringiensis crystal protein gene that codes for the toxin fragment. It would also be useful to demonstrate that such a DNA segment is expressible in host organisms and that the resultant protein product is toxic to lepidopteran insects.

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gene in pHES16;

FIGURE 5 (views A-C) shows construction of plasmids fusing a crystal protein gene to the lac promoter;

5           FIGURE 6 (views A-C) shows the 3'-end deletions of a crystal protein gene; and

FIGURE 7 (views A-C) shows transcriptional and translational fusions of lacZ to the crystal protein gene and to crystal protein genes modified at the 3' and/or 5' ends.

Detailed Description of the Figures

FIGURE 1A is a restriction enzyme map of pES1 showing the portion of the plasmid which was sequenced (boxed segment), the length and direction of the crystal protein transcript (arrow), and the portion of a subcloned restriction fragment which was used as an S1 nuclease mapping probe (bracketed line). The thicker lines represent vector (pBR322) sequences.

FIGURE 1B is a restriction enzyme map and sequencing strategy for ca. 2800 bp of the crystal protein gene. The sequence of the first 1500 bp has been reported (Wong, et al., 1983). Arrows indicate the length and direction of sequence determination from the sites presented.

FIGURES 2A and 2B show the DNA sequence of the crystal protein gene, including some 5' and 3' flanking sequences. The start sites of transcription in Bacillus thuringiensis (BtI and BtII) and in Escherichia coli (Ec) are indicated as well as the entire deduced amino acid sequence. FIGURE 2A includes nucleotides 1 through 2200, plus corresponding codons 1 through 558. FIGURE 2B includes nucleotides 2201 through 4222, plus codons 559 through 1176. Nucleotides 4053 through 4055 comprise codon 1176 which codes for the carboxy terminal amino acid in the

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### Objects

It is an object of the present invention to identify the segment of a Bacillus thuringiensis crystal protein gene, referred to herein as the "toxin-encoding" segment, which codes for the portion of a crystal protein that is toxic to lepidopteran insects.

It is a further object of the present invention to determine the DNA sequence of the "toxin-encoding" segment of a Bacillus thuringiensis crystal protein gene.

It is a further object of the present invention to demonstrate that a Bacillus thuringiensis crystal protein "toxin-encoding" gene segment is expressible in transformed recombinant host organisms.

It is a further object of the present invention to demonstrate that the protein product produced by recombinant hosts transformed to express a Bacillus thuringiensis crystal protein "toxin-encoding" gene segment is toxic to lepidopteran insects.

Other objects of the present invention will become apparent to those skilled in the art from the following description and figures.

### FIGURES

#### General Description of the Figures

FIGURE 1 (views A and B) shows restriction enzyme maps and sequencing strategy for a Bacillus thuringiensis crystal protein gene;

FIGURE 2 (views A and B) shows the DNA sequence of a Bacillus thuringiensis crystal protein gene;

FIGURE 3 (views A and B) shows restriction maps of pES1 and construction of pHES16;

FIGURE 4 (views A-E) shows the construction strategy for the 3'-end deletions of a crystal protein

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Bacillus thuringiensis crystal protein. Nucleotides 4140 through 4185 comprise the transcriptional terminator for this gene.

FIGURE 3A shows restriction enzyme site maps of pES1 linearized at the single SalI site. A partial map is shown for XmnI (solid line) and complete maps are shown for HindIII, PvuII, and HdeI. The location of the crystal protein gene is shown with a dashed box, and an arrow indicates the direction of transcription.

FIGURE 3B is a restriction enzyme map of pES1 which shows the location of Tn5 insertion B8 (stem-and-loop structure), the position of the crystal protein gene (thickened line), the direction of transcription (arrow), and the position of pBR322 vector sequences (boxed lines). The XhoI-HindIII fragment used to construct pHES16 (cross-hatched line) and its position in that plasmid are indicated.

FIGURE 4A is an expanded restriction enzyme map of a segment of pES1 containing the crystal protein gene (thickened line) and 3' flanking sequences. The positions of Tn5 insertions in the HindIII E fragment are indicated with arrows.

FIGURE 4B shows the strategy for obtaining 3'-proximal deletions in the HindIII E fragment of pES1 from bacteriophage M13mp8 containing the PvuII C fragment of pES1 cloned into the SmaI site in the indicated orientation.

FIGURE 4C shows the strategy for constructing deletions in pES1 containing Tn5 insertions in the HindIII E fragment.

FIGURE 4D illustrates the method used to extend the crystal protein gene segment in pHES16 to deletion endpoints in the HindIII E fragment of pES1. The plasmids derived from the M13 phages or the Tn5 insertion mutants are indicated on the connecting



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arrows. (pHES38, indicated parenthetically, was made in the manner indicated from a phage containing XmnI fragment 5 (FIGURE 3A) and required no further deletion.

5               FIGURE 4E illustrates the strategy used to make deletion derivatives (pHES37, 39, 40) of pHES35. (See FIGURE 4D for the construction of pHES35.)

10               FIGURE 5A shows transcriptional fusion of the lac promoter to the crystal protein gene. A map of pES1 linearized by SalI is presented, showing the location (thickened line) and direction of transcription (arrow) of the crystal protein gene. Vector sequences are boxed. The location and direction of transcription of the lac promoter are indicated with a P and an arrow. The location of the lac alpha-complementation segment is indicated by the symbol for the Greek letter alpha.

15               FIGURE 5B shows translational fusion of the lacZ gene to the 10th and 50th codons of the crystal protein gene. A map of pES1 indicating the position and orientation of the crystal protein gene is presented. The XmnI partial/XhoI complete digest fragments used in pHES 44 and 45 are also indicated by lines. A detailed description of these constructions is found in the Materials and Methods section, supra.

20               FIGURE 5C shows translational fusions of the lacZ gene to the 10th and 50th codons of a truncated Tn5 insertion mutation of the crystal protein gene. Maps of pES1-B22 and pES1-B22-1, its XhoI deletion derivative, are shown. The XmnI partial/XhoI complete digestion fragments used to make pHES46 and 47 are indicated with lines beneath the maps.

25               FIGURE 6A is a restriction enzyme map of pES1 with an expanded map of the crystal protein gene region. The crystal protein gene (thickened line), its

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direction of transcription (arrow) and pBR322 vector sequences (boxed lines) are indicated. The portion of the crystal protein gene remaining in the indicated plasmids is shown by a line under the restriction enzyme maps. Boxed segments of these lines indicate Tn5 sequences. The results of toxicity test for Escherichia coli containing these plasmids are also shown.

FIGURE 6B shows immunoblots of extracts of Escherichia coli carrying the following plasmids: lanes 1, pES1-B8; 2, pHES19; 3, pHES32; 4, pHES23; 5, pHES25; 6, pHES33; 7, pHES30; 8, pHES16; 9 pHES31 (containing the HindIII insert of pHES32 in reversed orientation); 10, pHES322. Lane 1 contains 1 microliter of extract; lanes 2-10 contain 20 microliters of extract.

FIGURE 6C shows immunoblots of extracts of Escherichia coli carrying the following plasmids: lanes 1, pES1-B8; 2, pHES34; 3, pHES35; 4, pHES36; 5, pHES37; 6, pHES38; 7, pHES39; 8, pHES40. Lane 1 contains 1 microliter of extract; lanes 2-8 contain 20 microliters of extract.

FIGURE 7A is a restriction enzyme map of pES1 with an expanded map of the crystal protein gene region. The crystal protein gene (thickened line), its direction of transcription (arrow) and pBR322 vector sequences (boxed lines) are indicated. Lines below the maps showing the amount of crystal protein gene remaining in the indicated plasmids are shown under the restriction enzyme maps. For the deleted plasmids, boxes at the left end indicate translational fusions to lacZ; boxes at the right end indicate Tn5 sequences. Dashed thickened lines at the right end indicate lac alpha-peptide sequences and the non-dashed thickened lines at the right end indicate the final 74 codons and

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transcriptional terminator of the crystal protein gene. The results of toxicity tests for Escherichia coli containing these plasmids are also shown.

FIGURE 7B shows immunoblots of Escherichia coli carrying the following plasmids: Lanes 1, pHES41; 2, pHES44; 3, pHES45; 4, pHES43; 5, pHES46; 6, pHES47. Lanes 1-3 contained 5 microliters of extract; lanes 4-6 contained 10 microliters of extract.

FIGURE 7C shows immunoblots of Escherichia coli carrying the following plasmids: Lanes 1, pHES43; 2, pHES50; 3, pHES48; 5, pHES49; 6, pHES52; 7 pBRS322. Each lane contained 20 microliters of extract.

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#### Definitions

15 In the present description and claims reference will be made to terms and phrases which are expressly defined for use herein as follows:

As used herein, the letters A, T, C, G are meant to denote the nucleotides adenine, thymine,  
20 cytosine and guanine in DNA, respectively.

As used herein, bp means base pairs.

As used herein, substantial sequence homology is meant to denote nucleotide sequences that are substantially functionally equivalent to one another.  
25 Nucleotide differences between such sequences having substantial sequence homology will be de minimus in affecting the function of the gene products coded for by such sequences.

As used herein, amino acid abbreviations are:

30 Phenylalanine	Phe	Histidine	His
Leucine	Leu	Glutamine	Gln
Isoleucine	Ile	Asparagine	Asn
Methionine	Met	Lysine	Lys
Valine	Val	Aspartic acid	Asp
35 Serine	Ser	Glutamic acid	Glu

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Proline	Pro	Cysteine	Cys
Threonine	Thr	Tryptophan	Try
Alanine	Ala	Arginine	Arg
Tyrosine	Tyr	Glycine	Gly

5           As used herein, crystal protein gene means a DNA segment that codes for a Bacillus thuringiensis crystal protein peptide that is toxic to lepidopteran insects. The term "protoxin" means a crystal protein polypeptide of ca.  $M_r=130,000 - 160,000$  which upon  
10 dissolution and proteolytic degradation (in vitro and presumably also in the insect midgut) yields a smaller "toxin" fragment of ca.  $M_r 55,000-73,000$  that is itself toxic to lepidopteran insects. The phrase "amino  
15 terminal 55% of the Bacillus thuringiensis crystal protein gene" means the amino terminal 645 codons of the crystal protein gene as shown in FIGURES 2A and 2B.

          As used herein, transcriptional terminator means that DNA sequence which promotes cessation of  
20 transcription. When the term is used to describe the transcriptional terminator sequence for the Bacillus thuringiensis crystal protein gene shown in FIGURES 2A and 2B, the term is meant to encompass the sequence comprised of base pairs 4140 through 4185. (See FIGURE  
25 2B).

          As used herein, the phrase "final 74 codons" means the final 74 codons of the Bacillus thuringiensis crystal protein gene as shown in FIGURES 2A and 2B. More specifically, the final 74 codons are comprised of  
30 codons 1103 through 1176 (or base pairs 3833 through 4054) as shown in FIGURE 2B.

          The methods of the present invention make use of techniques of genetic engineering and molecular cloning. As used herein, genetic engineering means  
35 techniques which lead to the formation of new

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combinations of heritable material by the insertion of nucleic acid molecules, produced or derived by whatever means outside the cell, into a bacterial plasmid or other vector system so as to allow their incorporation  
5 into a host organism in which they do not naturally occur but in which they are capable of replication. Host organisms carrying these new combinations of heritable material are referred to herein as recombinant host organisms. General techniques of  
10 genetic engineering and molecular cloning are included in Maniatis, et al., Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Laboratory (1982).

#### Description of the Invention

##### Summary of the Invention

15 The present invention comprises a DNA fragment that codes for the portion of Bacillus thuringiensis crystal protein peptide that is toxic to lepidopteran insects. The invention also comprises the DNA and amino acid sequences for the disclosed toxin-encoding  
20 DNA fragment. In addition the invention demonstrates that the disclosed toxin-encoding DNA fragment (referred to herein as the Bacillus thuringiensis crystal protein gene toxin segment) is expressible in recombinant host organisms, and that the "toxin"  
25 protein product produced by the transformed hosts is toxic to lepidopteran insects.

##### Detailed Description of the Invention

It is known that many subspecies of Bacillus thuringiensis produce crystal proteins that are toxic  
30 to lepidopteran insects. It is also known that these crystal proteins are coded for by crystal protein genes (Schnepf and Whiteley, 1981; Kronstad, et al., 1983; Held, et al., 1982) which, depending on the subspecies, may be located on large plasmids and/or the chromosome  
35 (Kronstad, et al., 1983; Held, et al., 1982; Klier, et



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al., 1982; Schnepf and Whiteley, 1981).

We have cloned a crystal protein gene from Bacillus thuringiensis subspecies kurstaki HD-1-Dipel and have shown that the gene is located on a large  
5 plasmid in this strain. See U.S. Patent 4,467,036. In addition we have shown that the crystal protein gene from subspecies kurstaki HD-1-Dipel is homologous to crystal protein genes on one or more plasmids (and in one case the chromosome of) several Bacillus  
10 thuringiensis strains. See U.S. Patent 4,467,036; also see Kronstad, et al. (1983).

In a previous communication the transcriptional and translational start sites and the nucleotide sequence for approximately one-fourth of the  
15 gene cloned from Bacillus thuringiensis subspecies kurstaki HD-1-Dipel were reported (Wong, et al., 1983). However, since more than this partial sequence was required to determine which portion of the crystal protein gene coded for the "toxin" portion of the  
20 crystal protein, we determined the DNA sequence for the remainder of the gene.

Our sequencing strategy is shown in FIGURE 1; also see the Materials and Methods section, supra. The DNA sequence is shown in FIGURES 2A and 2B as is the  
25 amino acid sequence deduced from the only open reading frame in the DNA sequence. This sequence contains the NH<sub>2</sub>-terminal sequence determined previously (Wong, et al., 1983) by chemical methods.

The disclosed DNA sequence codes for 1176  
30 amino acids specifying a polypeptide with a calculated molecular weight of 133,500. As discussed in Example I, this value for the crystal protein protoxin peptide agrees well with molecular weights determined for the protoxins from Bacillus thuringiensis subsp. kurstaki  
35 and subsp. thuringiensis. In addition the deduced

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amino acid composition is very similar to the chemically determined amino acid compositions of either whole crystals or the purified protoxin of Bacillus thuringiensis subsp. kurstaki (See Table 1, supra.)

5           Knowing that the Bacillus thuringiensis crystal protein protoxin can be cleaved to yield a smaller toxin fragment, we created recombinant Escherichia coli strains bearing deletions and fusions of the crystal protein gene and then analyzed the  
10 proteins they produced to delineate the portion of the gene which encodes the toxin peptide. Construction of these "deletion and fusion" plasmids is discussed in the Materials and Methods section, supra; also see  
15 FIGURES 3-7. The truncated peptides produced by recombinant hosts transformed by these plasmids are discussed in Examples II through V.

Very generally, the truncated peptides produced by host strains transformed by the "deletion and fusion" plasmids indicate that the amino terminal  
20 55% of the crystal protein gene encodes sufficient information to produce a lepidopteran toxin. More specifically, the "deletion and fusion" results indicate that deletions to the 50th codon from the 5' end of the gene, or to the 603rd codon from the 3' end  
25 abolish toxicity, while deletions to the 10th codon from the 5' end, or to the 645th codon from the 3' end do not. They also indicate that the 3' end of the crystal protein gene, from codons 645 to 1176 is not essential for toxicity, and the first 10 codons can be  
30 replaced by two different segments containing the N-terminus of beta-galactosidase and synthetic linker sequences without eliminating toxicity.

The shortest toxic segment of the crystal protein gene reported here encodes a polypeptide of ca.  
35  $M_r$  73,000; the outermost deletion endpoints for

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non-toxic mutants (codons 50 through 603) would encode a peptide of ca.  $M_r$  63,000. Others have reported toxic proteolytic fragments of the crystal protein in the  $M_r$  30,000 to 80,000 range (Huber and Luthy, 1981), with  
5 the most recent reports indicating a size of  $M_r$  55,000 to 72,000 (Bulla, et al., 1979; Lilley, et al., 1980 and Chestukhina, et al., 1982).

While differences in molecular weight standards, electrophoresis systems and Bacillus  
10 thuringiensis strains could account for the differences between our current results and those of investigators reporting toxic fragments of  $M_r$  67,000 to 72,000, it is also possible that smaller toxic fragments could come from a shorter segment of the gene than that delineated  
15 in the present investigation. We point out that the major difference between our study and the preceding work is that proteases were used in the earlier studies to generate smaller toxic peptides from solubilized preparations of crystals whereas we have used  
20 Escherichia coli cells to synthesize toxic proteins from altered genes. Assuming that the shorter toxic peptides are encoded by the segment of the crystal protein gene delineated here, our finding that shorter segments of the gene produced non-toxic peptides may be  
25 related to this difference in methodology. It is possible that deletions into the minimum toxic segment of the gene remove amino acid segments which are either necessary for attainment of the toxic conformation, or are required to prevent "non-toxin" portions of the  
30 remaining polypeptide segment from blocking attainment of the toxic conformation of the protein.

The results of this study are, however, in broad agreement with previous work showing that the N-terminus of the crystal protein was present on a

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toxic polypeptide fragment (Chestukhina, et al., 1982). In addition, our experiments involving 3'-end deletions or gene fusions indicate that there is a segment of increased susceptibility to proteolysis between residue 603 and 645 which leads to the production of an N-terminal ca.  $M_r$  70,000 fragment in the deleted strains. The location of this site near residue 645 is inferred from the results obtained with successive 3'-end deletions. More specifically, a plasmid in which crystal protein sequences terminate at codon 645 directs synthesis of little, if any, polypeptide beyond the cleavage site. The presence of a processing site before residue 645 is implied by apparent removal of the lac alpha-peptide in extracts of Escherichia coli containing a plasmid having the lac alpha-peptide sequences fused in phase following codon 645 of the crystal protein gene. The lac alpha-peptide is functional in this plasmid, since it complements the beta-galactosidase activity in Escherichia coli JM83 or JM103 (data not shown).

The different N-terminal amino acids reported by several investigators for the toxic fragments of the crystal protein (Lilley, et al., 1980; Chestukhina, et al., 1982) may reflect some flexibility in the N-termini which can be present on these fragments. This is supported by our current study which indicates that the first 10 codons of this gene can be replaced by two different polypeptide encoding segments without eliminating toxicity.

The 5'-end and 3'-end alterations of the crystal protein gene reported herein involved fusing these sequences to new sequences, some of which had unusual effects on the expression of the altered proteins in Escherichia coli. When the entire crystal protein gene was present, the 5'-end fusions to

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beta-galactosidase at the 10th and 50th codons of the crystal protein gene resulted in the production of a ca.  $M_r$  110,000 antigen in addition to the  $M_r$  134,000 crystal protein. This may indicate an altered conformation that is more sensitive to degradation. In addition, the 50th codon fusion showed little or no processing to a ca.  $M_r$  70,000 fragment (and no toxicity) when two different 3'-end deletions were present. However, some  $M_r$  70,000 material was detected when this altered gene had the final 74 codons of the crystal protein gene following codon 645. This implies that loss of processing and toxicity may be due to a conformational change mediated by the alteration at the 5' end of the gene. The 50th codon fusion disrupts the most extensive hydrophobic segment (data not shown) of the crystal protein. The lack of toxicity of the bacteria containing this alteration at the 5' end implies that this segment of the protein is either directly involved in toxicity, or that the alteration of this segment prevents the attainment of the toxic conformation of the protein.

In the case of the 10th codon fusion, segments of 9 amino acids or 19 amino acids can be substituted without eliminating toxicity. Preliminary evidence from titration experiments indicates that the protein having the 9 amino acid-substituted 10th codon fusion and the protein containing the natural N-terminus differ in toxicity by less than about 3 fold, if they differ at all. N-terminal substitutions have been reported for other proteins as well, most notably beta-galactosidase (Fowler and Zabin, 1983), the *lac* alpha-peptide (Vieira and Messing, 1982; Messing and Vieira, 1982; Traboni, et al., 1983; see also above) and chloramphenicol acetyl transferase (Goldfarb, et al., 1982). In beta-galactosidase (Fowler and Zabin,

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1983), increased susceptibility to heat or denaturation by urea were reported to be due to N-terminal substitutions.

5 Fusion of the final 74 codons of the crystal protein gene (codons 1103-1176 in FIGURE 2B), a segment distal to the toxic portion of the molecule, to codon 645 also has an apparent effect on conformation. Although a cleavage product of ca.  $M_r$  70,000 is produced, a much more prominent cleavage product of ca. 10  $M_r$  50,000 is also detected. This may, however, be an exceptional case since the final 74 residues of the crystal protein might be able to form a domain which can recognize and bind to a site in the amino-terminal region of the protein. If this binding were to take 15 place without the intervening polypeptide sequence, the overall conformation of the protein might be altered, resulting in an increased susceptibility to proteolysis.

20 In assessing the effects of different 3' ends on gene expression a surprising result was the observation that, given an equivalent promoter-ribosome binding site configuration, the source of the sequence following codon 645 of the crystal protein gene influenced the extent of synthesis of the altered 25 crystal protein. Since we have been unable to detect differences in the stability of these polypeptides, this difference in expression may reflect differences in the stability of mRNAs, although some unusual effect on translation elongation or termination cannot be 30 ruled out. Largely as a result of the study of the regulation of the bacteriophage lambda *int* gene, where gene expression is regulated, in part, by alternative RNA structures near a transcription termination site (Court, et al., 1983), it has been proposed that 35 transcriptional terminators have a more general role in

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regulating gene expression by affecting the stability of mRNA (Holmes, et al., 1983).

The termination site used by the altered crystal protein genes which end in pBR322 sequences is most likely distal to the beta-lactamase gene, and consists of three stem-and-loop structures. The mRNA of the beta-lactamase gene has a half-life of ca. 3 min. (von Gabain, et al., 1983). The site at which transcription would terminate when reading into Tn5 is not known, however it is known that Tn5 is highly polar to transcription (Berg and Berg, 1983). On the basis of the result described here, we raise the possibility that there is a transcriptional terminator in the first 485 bp of Tn5.

The crystal protein gene terminator has a very favorable stem-and-loop structure,  $\Delta G = -30.4$  Kcal/mole (data not shown), and appears to allow the highest level of expression. Possibly, the stability of this structure is related to the reported longer half-life of the crystal protein mRNA (Petit-Glatron and Rapoport, 1975) and the larger amount of toxic peptide detected in strains carrying the terminator at the 3'-end.

Details of these and other specific embodiments of the present invention are outlined in the following examples. Such examples are for illustrative purposes only and are not intended to limit the scope of the claims in any way. The materials and methods utilized in these examples are listed below.

#### Materials and Methods

##### Bacterial strains, plasmids and phages.

Plasmid pES1 (see U.S. Patent 4,467,036 issued August 21, 1984; also see Schnepf and Whiteley, (1981)) in

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Escherichia coli strain HB101 was the source of the crystal protein gene DNA. M13 bacteriophage strains mp7, mp8, and mp9 were propagated on Escherichia coli strain JM103 (Messing, et al., 1981; Messing and  
5 Vieira, 1982). Escherichia coli strains CS412 (Gray and Chang, 1981), JM83 and JM103 (Vieira and Messing, 1982), plasmids pBR322 (Bolivar, et al., 1977), pUC8Ap (Vieira and Messing, 1982), pUC13Cm and phage M13mp8 (Messing and Vieira, 1982) are described in the  
10 references cited for each. Plasmid and double-stranded phage DNAs were prepared as described in Birnboim and Doly (1979). Transfection of phage DNA was according to Mandel and Higa (1970). The methods used for transposon 5 (Tn5) mutagenesis, plus descriptions of  
15 some of the Tn5 insertion mutants in pES1 are described in Wong, et al. (1983). The positions of several additional Tn5 insertions in pES1 are shown in FIGURE 4; they were determined as described in Wong, et al. (1983).

#### 20 Enzymes and Radiolabeled Compounds

Restriction enzymes, T4 DNA ligase, and S1 nuclease were purchased either from New England Biolabs or Bethesda Research Laboratories. The large fragment of DNA polymerase I and all <sup>32</sup>P-labeled nucleotides  
25 were purchased from New England Nuclear. All enzymes were used as recommended by the suppliers.

#### DNA Sequencing

The dideoxynucleotide chain termination procedure of Sanger, et al. (1977) employing  
30 M13-derived phages as templates was used for DNA sequencing as described in Wong, et al. (1983). Template phages for sequencing the internal PvuII fragment (bases 1845 to 3831) of the crystal protein gene were obtained by the DNase I deletion method of  
35 Hong (1982). Alternatively, in order to bring the



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sequencing primer adjacent to several of the Sau3AI sites in the PvuII fragment, the DNA was partially digested with Sau3AI and completely digested with BamHI (the latter enzyme cuts only in the polylinker site of M13 mp8 adjacent to the sequencing primer site).  
Less-than-full size phage DNA was then purified and recircularized with DNA ligase.

The DNA sequence was translated and analyzed by the use of a computer program (Nicol1, 1983) modified for use on the IBM Personal Computer by Dr. D. Nicol1 and Dr. J. Champoux (Department of Microbiology and Immunology, University of Washington).

Preparation and manipulation of plasmid and phage DNAs. Standard methods were used for preparing plasmid and phage DNAs and for digesting and modifying these DNAs (Maniatis, et al., 1982). DNase I was used in the presence of  $Mn^{++}$  (Hong, 1982) to obtain deletions in phage or plasmid clones. The EcoRI\* activity was obtained as described by Polisky, et al., (1975).

Immunoblotting. Whole cell extracts of Escherichia coli were prepared as follows: 1 ml of culture in L-broth was centrifuged for 30 sec. in a 1.5 ml Eppendorff tube, the supernatant was removed and the pellet was resuspended in 30 microliters of 0.01 M tris, 0.005 M EDTA pH 7.0. Fifty microliters of hot 2X sample buffer (0.1 M tris, pH 6.8, 2% sodium dodecyl sulfate, 2% beta-mercaptoethanol, 20% glycerol and 0.01% bromphenol blue) were added and the tube was plunged into a boiling water bath for 2 min. One-tenth ml of a solution containing 7.2 M urea, 1% sodium dodecyl sulfate, and 2% beta-mercaptoethanol was added, the preparation was sonicated for 30 sec. and then boiled for another 2 min. Ten to forty microliters of this preparation were applied to a 10% sodium dodecyl

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sulfate-polyacrylamide gel and subjected to electrophoresis (Wong, et al., 1983). The proteins in the gels were transferred electrophoretically to nitrocellulose and were reacted with anti-crystal antibody and [<sup>125</sup>I] Staphylococcus aureus Protein A as described in Schnepf and Whiteley (1981).

Insect toxicity assays. Hatchling tobacco hornworm (Manduca sexta) caterpillars were obtained from Drs. J. Truman and L. Riddiford (Department of Zoology, University of Washington). Cell extracts were prepared by sonicating the cells from a 150 ml overnight culture in 2 ml of 0.1 sodium phosphate buffer, pH 7.4. Cell suspensions of the recombinant Escherichia coli strains from 20-50 ml of overnight culture were prepared in a 0.25 to 0.3 ml volume. The extracts (0.2 ml) or suspensions (50 microliter) were spread on an agar-based diet (2-4 ml/vial; 4.8 cm<sup>2</sup> area; See Schesser, et al., 1977) and allowed to dry. One neonate caterpillar was placed in each vial and mortality was determined after 5 days. Each recombinant strain was tested two to four times in quintuplicate. A positive toxicity score indicted that all 5 larvae died within 5 days whereas a negative score indicted normal growth and development.

Plasmid constructions. The source of crystal protein DNA was pES1 (ATCC 31995) or its Tn5 insertion derivatives. The strategy used to delineate the toxin-encoding portion of the gene was to construct a vector (pHES16) which contained the 5' portion of the gene and was not lethal to the larvae of the tobacco hornworm. In a second construction, an adjacent segment of the crystal protein gene (the HindIII E fragment) was added to extend pHES16 thereby creating a sequence (pHES19) which encoded a toxic peptide. To determine which portion of the HindIII-E fragment

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contained the 3' terminus of the toxic activity, subfragments of HindIII-E were added to extend pHES16. To determine the 5' margin of the toxic peptide, the lacZ alpha peptide was fused to the crystal protein gene at the 10th and 50th codons.

pHES16--a-toxin analysis vector. Deletions were made in pBR322 to remove the PvuII and XmnI sites at positions 2065 and 2030 in pBR322 (Sutcliffe, 1979) by digestion with Bal 31 nuclease followed by religation. The XhoI-HindIII fragment of pES1-B8 containing a portion of Tn5 and the 5' portion of the crystal protein gene with its transcriptional and translational start signals (crosshatched bar in FIGURE 3B) was purified by agarose gel electrophoresis and DE52 chromatography. This fragment was cloned into the HindIII and SalI sites of the deleted pBR322 to give pHES16 (FIGURE 3B). This vector was digested with HindIII and bacterial alkaline phosphatase and was then used to accept HindIII fragments which would extend the crystal protein gene in the 3' direction as indicated by the dashed lines in FIGURE 4D.

pHES19--extension of the pHES16 crystal protein gene by addition of the HindIII-E fragment of pES1. The HindIII E fragment (FIGURE 3A) was purified and ligated into pHES16 at the HindIII site. The orientation of this fragment in pHES16 was determined by digestion with the appropriate restriction enzymes and by an analysis of antigenic peptides as assayed by immunoblotting.

pHES32; 23; 25; 33 and 30--extension of the pHES16 crystal protein gene to Tn5 insertion points in the HindIII-E fragment. Insertion mutants containing Tn5 (see Wong, et al. (1983); also see FIGURE 4C) in the HindIII-E fragment were first deleted by XhoI digestion and religation to remove DNA between the Tn5

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segment nearest the 5' end of the crystal protein gene and the XhoI site in the HindIII-D fragment of pES1 as shown in FIGURE 4C. The resulting HindIII fragment containing the 5'-proximal portion of the HindIII-E fragment of pES1 was purified and cloned into the HindIII site of pHES16 (FIGURE 4D). The orientation of the cloned HindIII fragment was determined by XhoI-PstI digestion; the correct orientation yielded a XhoI-PstI fragment common to all of these plasmids. pHES31 contains the same insert as pHES32 but in the opposite orientation.

pHES34, 35, 36 and 38--extension of pHES16 crystal protein gene to sequenced endpoints. The PvuII C fragment of pES1 was cloned into the SmaI site of M13mp8 in an orientation which put the end nearest the 3' end of the crystal protein gene closest to the HindIII site of the polylinker system of the phage (FIGURE 4B). New HindIII fragments containing 5' proximal portions of the HindIII E fragment were produced by making deletions. Thus, the insert for pHES34 was generated by partial digestion with DNase I in the presence of  $Mn^{++}$ , followed by digestion with SalI, filling in with DNA polymerase I and religation. The inserts used for pHES35 and 36 were produced by partial digestion with Sau3AI followed by digestion with BamHI, purification and religation. For pHES34 and 36, the positions of the endpoints of the deletions were determined by sequencing. The Sau3AI site of the pHES35 endpoint was inferred from restriction enzyme digestion and comparison with the known sequence. After insertion into pHES16, the orientations of the HindIII fragments were determined by PstI digestion: a fragment common to all three plasmids, extending from the site in the vector to the site remaining from the mp8 linker system, was indicative of the correct

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orientation.

pHES38 was produced by cloning XmnI fragment No. 5 (FIGURE 3A) into the SmaI site of M13mp8 in an orientation such that the end nearest the 3' terminus of the crystal protein was nearest the HindIII site of the mp8 polylinker sequence. The position of the XmnI site was determined by sequencing. The resulting HindIII fragment was inserted into the HindIII site of pHES16 and the orientation was determined as described above.

pHES37, 39 and 40--extension of the pHES16 crystal protein gene to well defined endpoints. The deletion used to construct pHES35 regenerated the BamHI site of the mp8 polylinker system and this was used to make several additional deletions (FIGURE 4E). pHES35 was digested with BclI (243 bases from the 5' proximal HindIII site of the HindIII E fragment) and BamHI and re-circularized by ligation to form pHES37. pHES39 was formed by digesting pHES35 partially with the EcoRI\* activity (Polisky, et al., 1975) followed by complete digestion with BamHI and the DNA polymerase I-catalyzed fill-in reaction. Purified fragments of the correct size were re-circularized by ligation to form pHES39. pHES35 was digested partially with Sau3AI, completely with BamHI and the fragments of the appropriate size were purified and re-circularized by ligation to produce pHES40. The positions of the endpoints were determined by comparing the sizes of the resulting HindIII fragments with the known sequence.

pHES 41, 43 and 50--crystal protein genes under lac promoter control. The NdeI C fragment of pES1 (FIGURE 3A) was purified; pUC13Cm was digested with XbaI and the 5' extensions of both the insert and the vector were filled in using DNA polymerase I and deoxyribonucleotides. Following ligation, pHES41 was

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obtained as a transformant containing the NdeI C fragment under the control of the lac promoter. pHES41 was digested with both BclI and BamHI and was then re-circularized by ligation to form pHES43. pHES50 was  
5 constructed by a three-way ligation of pUC13Cm which had been digested with SalI and EcoRI, the purified SalI-BclI fragment of pHES41 which contained the 5' portion of the crystal protein gene, and the BamHI-EcoRI fragment of M13mp8CN3 which contained the  
10 final 74 codons and the transcriptional terminator of the crystal protein gene (FIGURE 5A). Phage M13mp8CN3 was constructed by cloning the PvuII-NdeI fragment containing the 3' end of the crystal protein gene into the SmaI site of M13mp8 following a DNA polymerase  
15 I-catalyzed fill-in reaction, in an orientation placing the crystal protein coding sequence closest to the BamHI site of the phage. The crystal protein gene reading frame at this BamHI site is the same as at the BclI site at codon 645. M13mp8CN3 was also used as a  
20 sequencing template for the 3' end of the crystal protein gene.

~~pHES44, 45, 48, 49, 51 and 52--fusions at the 10th or 50th codons.~~ The alpha-peptide of lacZ in pUC8 was fused in the same reading frame to the crystal  
25 protein at the 10th (pHES 44, 48 and 51) or the 50th (pHES 45, 49 and 52) codons. Partial digestion of pES1 with XmnI was followed by complete digestion with XhoI and fragments of 5-5.3 Kb were purified. pUC8 was digested with BamHI, the 5' extensions were filled in  
30 with DNA polymerase I and deoxyribonucleotides and this preparation was then digested with SalI. The pUC8 and pES1-derived DNAs were then ligated (FIGURE 5B). Plasmid DNAs from several colonies which hybridized with a crystal protein gene-specific probe (Kronstad,  
35 et al. 1983) were screened using restriction enzymes to

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distinguish pHES44 from pHES45. Although both plasmids contained the internal EcoRI fragments of 580 and 732 bp from this crystal protein gene, additional smaller fragments were also found in pHES44 and pHES45, respectively; these fragments were from the crystal protein gene-pUC8 junction. The BamHI sites from the 10th codon fusions of this series (pHES44, 48 and 51) are regenerated, while the 50th codon fusions of this series (pHES45, 49 and 52) have lost the BamHI sites. pHES48 and 49 are 3'-end deletion mutants of pHES44 and 45, respectively (FIGURE 5B). The SmaI-BclI fragments of the latter plasmids, containing the 5' portion of the crystal protein gene, were cloned into the SmaI and BamHI sites of pUC8. The modified crystal protein polypeptides produced from these plasmids initiate at the lac translational start site and reenter the lac alpha-peptide sequence out of phase following the 645th codon of the crystal protein gene. Plasmids pHES51 and 52 were made by mixing the same SmaI-EcoRI fragments used for pHES 48 and 49 above with SmaI-EcoRI cut pUC13Cm and the EcoRI-BamHI fragment from M13mp8CN3 used to make pHES50 (FIGURE 5B). The fragments were ligated and transformed into JM83; white transformants growing on MacConkey agar were screened to determine if they contained plasmids with the predicted restriction enzyme digestion products. pHES51 and 52 contain a 19 amino acid long N-terminal coding sequence from beta-galactosidase and the pUC8 linker sequence fused to the crystal protein gene at the 10th and 50th codons, respectively, and are fused, in phase, to the lac alpha-peptide following the 645th codon of the crystal protein gene at the 3' end.

pHES46 and 47--10 and 50th codon fusions terminating in Tn5 inserts. These plasmids were formed in a manner analogous to that of pHES44 and 45 above

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except that the ~~XmnI-XhoI~~ partial digest fragment were from the Tn5 insertion deletion mutant pES1-B22-1 (FIGURE 5C). The Tn5 insertion mutant used (B22, FIGURE 4A) was the same as that used to make pHES33.

5

## EXAMPLE I

DNA sequence of a crystal protein gene and the deduced amino acid sequence of its gene product.

10 The Bacillus thuringiensis crystal protein gene has been partially sequenced (Wong, et al., 1983). However, since more than this partial sequence was required to determine which portion of the crystal protein gene coded for the "toxin" portion of the crystal protein, the DNA sequence for the remainder of the gene was determined, partly with cloned restriction fragments from the restriction sites shown in FIGURE 1. 15 The PvuII fragment from bases 1845 to 3831 in FIGURE 1B was sequenced primarily by the DNase I deletion method of Hong (1982) (see numbered sites in FIGURE 1B) but some gaps in the coding strand sequence were filled in 20 by obtaining deletions through partial Sau3AI digestion (asterisks in FIGURE 1B). The complete sequence was determined for both strands except for occasional anomalies of one to a few bases on one or the other strand. Since the coding sequence was thought to end 25 200-400 bases distal to the PvuII site at base 3831 (Wong, et al., 1983), the determinations were extended to base 4222 just beyond a sequence resembling an Escherichia coli transcription terminator (Rosenberg and Court, 1979). The latter region was verified by S1 30 nuclease mapping (discussed below) as the site of transcriptional termination.

As shown earlier (Wong, et al., 1983), the crystal protein gene is transcribed in Bacillus thuringiensis during sporulation from two adjacent 35 start sites (Bt I and Bt II in FIGURE 2A). In



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recombinant Escherichia coli strains containing the cloned gene, transcription begins at a site (Ec in FIGURE 2A) located between Bt I and BtII and is independent of the phase of growth. The base sequences of the -10 and -35 regions of Bt I and Bt II differ significantly from the consensus sequences recognized by RNA polymerase from vegetative Bacillus subtilis and by Escherichia coli RNA polymerase. Klier, et al., (1978) reported the isolation of two modified forms of RNA polymerase from sporulating cultures of Bacillus thuringiensis; one of these polymerases was found to transcribe in vitro a crystal protein gene cloned from the chromosome of Bacillus thuringiensis subsp. thuringiensis (Klier, et al., 1983). Interestingly, the transcribed sequence, which is nearly identical to a HindIII/EcoRI fragment of the gene we have cloned (FIGURES 2A and 2B, bases 2100-2215), does not contain -10 and -35 sequences corresponding to the sequence upstream from either the BtI or BtII start sites.

The complete sequence of the Bacillus thuringiensis crystal protein gene is shown in FIGURES 2A and 2B. FIGURES 2A and 2B also show the amino acid sequence deduced from the only extended open reading frame in the DNA sequence. The open reading frame codes for 1176 amino acids specifying a polypeptide with a calculated molecular weight of 133,500. This value agrees well with molecular weights of 134,000 and 136,000, respectively, determined for the protoxins from Bacillus thuringiensis subsp. kurstaki (Bulla, et al., 1981) and subsp. thuringiensis (Huber, et al., 1981). The deduced amino acid composition is very similar to the chemically determined amino acid compositions of either whole crystals or the purified protoxin of Bacillus thuringiensis subsp. kurstaki (see Table 1).

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## EXAMPLE II

The 5'-proximal portion of the crystal protein gene encodes a toxic peptide. Several investigators have proposed that the ca.  $M_r=135,000$  crystal protein is a protoxin which is proteolytically processed to yield smaller toxic fragments (Huber and Luthy, 1981; Bulla, et al., 1979; Lilley, et al., 1980 and Chestukhina, et al., 1982). In addition, our earlier immunoblot analysis of Tn5 insertion mutants of pES1 (see Wong, et al., 1983) revealed that a crystal protein antigen of ca.  $M_r$  68,000 was correlated with the 5'-proximal segment of the crystal protein gene. It was important, therefore, to determine whether this segment of the gene encoded a toxic peptide and, if so, to delineate the minimum portion of the gene which could produce such toxic fragments. To that end a series of pES1-type plasmids were constructed that contained insertions and deletions in the crystal protein gene. These plasmids were used to transform Escherichia coli host and then the resultant "crystal proteins" were analyzed for toxicity.

The plasmid used to study 3' end deletions of the crystal protein gene was pHES16 (FIGURE 3B). This plasmid consists of the XhoI-HindIII fragment of a Tn5 insertion mutant, pES1-B8 (crosshatched bar in FIGURE 3B), which includes 485 bp of Tn5 and a portion of the crystal protein gene containing the promoter and the first 565 codons, placed in a modified pBR322 (see FIGURE 3 and the Materials and Methods section, supra, for details of this and ensuing plasmid constructions). Escherichia coli strains carrying this plasmid produced a ca.  $M_r$  58,000 crystal protein antigen and were not toxic to caterpillars (FIGURE 6A, and lane 8 of FIGURE 6B).

To determine if extension of this crystal

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protein gene could restore toxicity, the HindIII-E fragment of pES1 (FIGURE 3A) was inserted into the HindIII site of pHES16. When the HindIII-E fragment was inserted in the proper orientation, the recombinant  
5 Escherichia coli strain bearing the resulting plasmid, pHES19, was toxic to caterpillars (FIGURE 6A). Escherichia coli cells carrying pHES19 produced several polypeptides which reacted with antibodies to the crystal protein, the most prominent of which were ca.  
10  $M_r=104,000$  and  $70,000$  (lane 2 of FIGURE 6B).

In general, throughout these experiments, it was observed that when substantial amounts of crystal protein antigen were detected, an array of antigenic polypeptides was seen (e.g., lanes 1-6 of FIGURE 6B)  
15 whether the entire crystal protein (lane 1 of FIGURE 6B) or truncated derivatives (lanes 2-6 of FIGURE 6B) were synthesized. These polypeptides were distinct from smaller cross-reactive peptides produced by Escherichia coli carrying pBR322 (lane 10 of FIGURE  
20 6B). We presume that the multiple peptides originating from the crystal protein gene were produced either cotranslationally or post-translationally by proteolysis in Escherichia coli.

#### EXAMPLE III

25 Delineation of the 3'-end of the toxin-encoding segment. The experiments outlined in Example II demonstrated that the 5'-proximal portion of the crystal protein gene encodes a toxic peptide and that the 3' end of the toxin-encoding portion was in  
30 the HindIII-E fragment. To determine the 3' end of this region more precisely, a number of deleted plasmids were constructed. See FIGURE 6A. The crystal protein encoding sequence in these plasmids terminated either in Tn5, where all reading frames close within 30  
35 bases of the end of the transposon (boxes in FIGURE 6A;

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Auerswald, et al., 1981) or in pBR322, where all reading frames close within 2-17 codons (no boxes in FIGURE 6A; Sutcliffe, 1979). FIGURE 6A also indicates the results of toxicity tests of extracts of these  
5 recombinant strains performed on the tobacco hornworm.

As indicated in FIGURE 6A, four of the deleted plasmids having the crystal protein gene terminated by Tn5 (pHES32, 23, 25 and 33) conferred toxicity to Escherichia coli while one (pHES30) did not. Of the  
10 nine deleted plasmids with crystal protein gene sequences terminating in pBR322, five conferred toxicity (pHES19, 34, 35, 36, 37) and four (pHES38, 39, 40 and 16) did not. Plasmid pHES38 contains 603 codons of the crystal protein gene, which is the longest  
15 non-toxic segment of the gene tested, while plasmid pHES37 contains the shortest toxic segment: 645 codons of the crystal protein gene, 5 codons from the M13mp8 linker sequence and 2 codons from pBR322.

Panels B and C of FIGURE 6 show an immunoblot  
20 assay of the polypeptides produced by recombinant strains containing these plasmids. As shown in lanes 2-6 of FIGURE 6B and lanes 2-5 of FIGURE 6C, deleted plasmids which directed synthesis of crystal protein fragments of 645 codons or longer (and made Escherichia coli  
25 coli toxic to caterpillars) synthesized crystal protein antigens of ca.  $M_r$  70,000 and an additional longer peptide which had a size roughly proportional to the length of the segment of the crystal protein gene beyond codon 645. Some additional fainter bands were  
30 also seen, as mentioned above. The full-length crystal protein as encoded by pES1-B8, is shown in lane 1 of FIGURE 6B and 6C for comparison. Escherichia coli strains containing deleted plasmids having less than 645 codons of the crystal protein gene (lanes 7-9 of  
35 FIGURE 6B and lanes 6-8 of FIGURE 6C) were not toxic to

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caterpillars and produced crystal protein antigens of ca.  $M_r$  58,000-60,000. The antigenic polypeptide in lane 9 of FIGURE 3B was produced from pHES31 which contains the HindIII insert of pHES32 in the opposite orientation; extracts of the strain carrying this plasmid were not toxic. In most cases (lanes 7-9 of FIGURE 6B and lanes 7 and 8 of FIGURE 6C), the ca.  $M_r$  58,000 polypeptide produced by the nontoxic strains was detected poorly by the immunoblotting analysis. This may be due to an increased susceptibility to proteolysis or might indicate the loss of a major antigenic determinant of the crystal protein.

## EXAMPLE IV

5' and 3' modifications of the crystal protein gene. Knowing that the 5'-proximal portion of the crystal protein gene encodes the toxic peptide and that the 3' end of the "toxin-encoding" gene segment is located between codons 603 and 645 (see FIGURE 2B) more plasmids containing altered crystal protein genes (under control of either the lac promoter, or the lac promoter plus the beta-galactosidase translational initiation site) were constructed to assess the effect of additional changes at the 5' and 3' ends of the gene on toxicity. These plasmids are diagrammed in FIGURE 7A; their construction is described in Materials and Methods section, supra.

For this study the 5' end modifications to the crystal protein gene were of two types, and were located at two places in the gene: 1) the first 10 codons of the crystal protein gene were replaced with 9 codons (pHES44, 46 and 48) or 19 codons (pHES51) of the beta-galactosidase and polylinker system of pUC8 and pUC13, respectively, or 2) the first 50 codons of the crystal protein gene were replaced by the first 9 (pHES45, 47 and 49) or 19 (pHES52) codons of the

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beta-galactosidase and polylinker system of pUC8 and pUC13, respectively. Plasmids pHES41, 43 and 50 were under the transcriptional control of the *lac* promoter but were under translational control of the crystal protein ribosome binding site and served as controls for the effects of the 5'-end modifications: 1) the crystal protein coding sequence, stop codon, and transcriptional terminator (pHES41, 44 and 45), 2) codon 645 of the crystal protein gene fused either in phase (pHES43) or out of phase (pHES48 and 49) with the reading frame of the subsequent portion of the *lac* alpha-peptide, 3) the Tn5 insertion site B22 (FIGURE 3B) in pES1 (pHES46 and 47), 4) codon 645 of the crystal protein gene re-connected in phase to the final 74 codons and the transcriptional terminator of the crystal protein gene (pHES50, 51 and 52).

Extracts of *Escherichia coli* containing these plasmids were tested for toxicity to hatchling caterpillars. Our results indicate that plasmids having the translational start site of the crystal protein gene or the beta-galactosidase fusion to the 10th codon of the crystal protein gene were toxic regardless of the modification to the 3' end of the coding sequence. The beta-galactosidase fusions to the 50th codon of the crystal protein gene were all non-toxic, irrespective of the 3'-terminal coding sequence.

#### EXAMPLE V

##### Effects of the 5'-and 3'-end alterations.

This example demonstrates that alterations of the crystal protein at the 5' end or at both ends affected production of the crystal protein antigen.

As shown in lanes 1-3 of FIGURE 7B, alterations to the 5' end of the whole crystal protein gene allowed production of a ca.  $M_r$  135,000 antigenic

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peptide. While the presence of the crystal protein N-terminus (pHES41, lane 1) allowed production of several minor apparent cleavage products in addition to the full-sized crystal protein, strains containing fusions at the 10th codon (pHES44, lane 2) and especially at the 50th codon (pHES45, lane 3) produced one (or more) prominent apparent cleavage product of ca.  $M_r$  110,000. Lanes 4-6 of FIGURE 7B show the crystal protein antigens produced by bacteria containing pHES43, 46 and 47, respectively. The proteins produced from these plasmids had sizes of ca.  $M_r$  77,000, 85,000 and 80,000, respectively, in accord with the size of the coding sequence present in these plasmids. In addition, lanes 4 and 5 (the crystal protein N-terminus and the 10th codon fusion, respectively) contained polypeptides in the ca.  $M_r$  70,000 range, while no polypeptide of this size was seen in lane 6 (the 50th codon fusion).

The results of an immunoblot assay of extracts of *Escherichia coli* carrying plasmids with additional 3'-end alterations following codon 645 of the crystal protein gene are shown in FIGURE 7C. As noted above, the natural N-terminus or the 10th codon fusion allowed processing of some of the crystal protein antigen to a ca.  $M_r$  70,000 form. Lanes 1-4 of FIGURE 7C show that this was true whether the 3' sequence following residue 645 was the beta-galactosidase alpha-peptide, either in phase (pHES43, lane 1) or out of phase (pHES48, lane 3) or the final 74 codons of the crystal protein gene (pHES50, lane 2; pHES51, lane 4). If the peptide synthesized in the strain containing the 50th codon fusion were processed when the 3'-end sequence was the out-of-phase *lac* alpha-peptide, it would be expected that lane 5 would show two bands, each ca.  $M_r$  4,000 smaller than the two bands of ca.  $M_r$  70,000 and

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74,000 seen in lane 3. Only the larger of these two expected bands was detected. Lane 6 of FIGURE 7C shows that some cleavage of the 50th codon fusion protein to a ca.  $M_r$  70,000 peptide took place when the final 74  
5 codons of the crystal protein gene were present following codon 645. FIGURE 7C also shows that when the final 74 codons of the crystal protein gene were fused in phase following codon 645, the same prominent peptide of ca.  $M_r$  50,000 was detected in extracts of  
10 strains having different 5' ends (lanes 2, 4 and 6 of FIGURE 7C), suggesting that sequences distal to residue 645 can influence the overall conformation of the altered polypeptide. Since this common cleavage product was found in extracts of a strain carrying the  
15 non-toxic plasmid pHES52, it seems likely that the ca.  $M_r$  50,000 peptide would be non-toxic.

The immunoblot analysis in FIGURES 6B, 6C and 7C indicates that when the ca.  $M_r$  70,000 crystal protein fragment was produced, the amount of antigen  
20 detected was affected by the sequences distal to the crystal protein coding sequences. As shown in lane 2 of FIGURE 6B and lanes 2-5 of FIGURE 6C, the amount of antigenic material synthesized by strains bearing plasmids with crystal protein sequences fused at the  
25 3'-end to pBR322 was greater than when the crystal protein sequences terminated in Tn5 (lanes 3-6 of FIGURE 6B). It seems unlikely that this difference was due to the loss of a particularly immunogenic segment of the protoxin since both longer and shorter  
30 pBR322-terminated plasmids promoted the increased synthesis of the antigen when compared to the amount made when Tn5 was at the 3' end. Preliminary estimates of the rate of protein degradation in these strains, which were made by performing immunoblot analyses on  
35 extracts of cultures at various times after protein



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synthesis was blocked by the addition of chloramphenicol, indicated that the rate of degradation of the altered crystal protein was not markedly different in strains having pBR322 or Tn5 sequences distal to the crystal protein gene coding sequences (data not shown). As shown in FIGURE 7C, more antigen was produced when the 3' end of the crystal protein gene was present (lanes 2, 4 and 6) than when transcription terminated in the pUC vectors (lanes 1, 3 and 5 of FIGURE 7C).

### Tables

Table I

	Amino Acid	Crystal*	Protoxin*	Deduced
15	Asx	12.45+	13.31	12.0
	Thr	6.46	5.99	6.3
	Ser	7.78	6.49	7.3
	Glx	12.09	11.98	12.0
	Pro	3.48	5.32	5.4
20	Gly	7.45	6.82	6.8
	Ala	5.46	5.32	5.4
	1/2 Cys	1.66	1.66	1.5
	Val	6.95	7.32	6.9
	Met	0.83	0.83	0.8
25	Ile	5.63	5.40	6.0
	Leu	7.95	7.49	8.7
	Tyr	4.14	3.83	4.4
	Phe	3.97	4.83	4.6
	Lys	2.65	2.5	2.8
30	His	1.66	2.16	1.9
	Arg	7.95	7.65	6.4
	Trp	1.16	1.0	1.5

+ - values in mole %

\* - taken from Bulla, et al., (1981).

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Conclusion

Thus it can be seen that the present invention discloses a toxin-encoding segment of a Bacillus thuringiensis crystal protein gene that is expressible in recombinant host organisms. The present invention further discloses that the "toxin" protein product produced by these transformed recombinant strains is toxic to lepidopteran insects. Since the toxin peptide is approximately half the size of the Bacillus thuringiensis crystal protein protoxin peptide, standard insecticidal preparations containing the protoxin crystals can be made to be twice as effective per given dose (or equally as effective at half the dose) by utilizing the smaller toxin fragment instead of the larger protoxin polypeptide.

Various modifications of the invention in addition to those shown and described herein will become apparent to those skilled in the art from the foregoing description and accompanying figures. Such modifications are intended to fall within the scope of the appended claims.

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## WHAT IS CLAIMED IS:

1. A DNA fragment encoding the toxin portion of a Bacillus thuringiensis crystal protein peptide wherein said DNA fragment is a toxin-encoding DNA sequence having substantial sequence homology with the  
5 toxin-encoding DNA sequence beginning with base pair 527 and ending with base pair 2461 as shown in FIGURES 2A and 2B.
2. A composition of matter comprising the amino terminal 55% of a Bacillus thuringiensis crystal  
10 protein gene as shown in FIGURES 2A and 2B.
3. A DNA sequence having substantial sequence homology with the DNA sequence comprising codons 1 through 645 (base pairs 527 through 2461) as shown in FIGURES 2A and 2B.
- 15 4. A DNA sequence according to Claim 3 wherein the sequence coding for codons 1 through 10 is replaced by a DNA sequence that codes for an amino terminus (N-terminus) derived from substance X.
5. A DNA sequence according to Claim 4.  
20 wherein substance X is comprised of beta-galactosidase and a synthetic linker.
6. A DNA sequence having substantial sequence homology with a first DNA sequence comprised of base pairs 527 through 2461 (codons 1 through 645) as shown  
25 in FIGURES 2A and 2B, and a second DNA sequence comprised of base pairs coding for the carboxyl terminus of beta-galactosidase wherein said first and said second DNA sequences are linked by a synthetic linker.
- 30 7. A DNA sequence having substantial sequence homology with a DNA sequence comprised of a first DNA sequence comprised of base pairs 527 through 2461 (codons 1 through 645), a second DNA sequence comprised

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of base pairs 3833 through 4054 (codons 1103 through 1176) and a third DNA sequence comprised of base pairs 4140 through 4185 (the transcriptional terminator) as shown in FIGURES 2A and 2B.

5           8. A recombinant microbial strain transformed to express a polypeptide of ca. 73,000 M<sub>r</sub> having the immunological properties of the toxin portion of the crystal protein of Bacillus thuringiensis.

10           9. A recombinant microbial strain according to Claim 8 wherein said strain is selected from the group comprised of bacteria and yeast.

15           10. A recombinant bacterial strain transformed to express a polypeptide of Ca. 73,000 M<sub>r</sub> having the immunological properties of the toxin portion of the crystal protein of Bacillus thuringiensis.

20           11. A recombinant bacterial strain according to Claim 10 wherein said bacteria are selected from the group comprised of Escherichia coli, Bacillus subtilis, and bacteria that are endogenous to green plants.

25           12. A recombinant Escherichia coli bacterial strain transformed to express a polypeptide of ca. 73,000 M<sub>r</sub> having the immunological properties of the toxin portion of the crystal protein of Bacillus thuringiensis.

30           13. A hybrid recombinant plasmid capable of replication in a bacterial host species, said plasmid containing expressible heterologous DNA coding for a polypeptide of ca. 73,000 M<sub>r</sub> which has the immunological and toxic properties of the toxin portion of crystal protein of Bacillus thuringiensis, said plasmid further including an expression mechanism for said heterologous DNA which is recognized by the host species' system.

35           14. A hybrid recombinant plasmid capable of

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replication in an Escherichia coli bacterial host species, said plasmid containing expressible heterologous DNA coding for a polypeptide of ca. 73,000  $M_r$  which has the immunological and toxic properties of the toxin portion of crystal protein of Bacillus thuringiensis, said plasmid further including an expression mechanism for said heterologous DNA which is recognized by the host species' system.

15. A method for producing the toxin portion of a Bacillus thuringiensis crystal protein peptide comprising utilizing a recombinant plasmid which contains the amino terminal 55% of a Bacillus thuringiensis crystal protein gene as shown in FIGURES 2A and 2B to transform microbial host organisms.

16. A method for producing the toxin portion of a Bacillus thuringiensis crystal protein peptide comprising utilizing a recombinant plasmid which contains a DNA fragment having substantial sequence homology with the toxin-encoding DNA sequence beginning with base pair 527 and ending with base pair 2461 as shown in FIGURES 2A and 2B to transform microbial host organisms.

17. A method for producing a peptide that contains the toxin portion of a Bacillus thuringiensis crystal protein peptide comprising utilizing a recombinant plasmid which contains a DNA sequence having substantial sequence homology with a DNA sequence comprised of a first DNA sequence comprised of base pairs 527 through 2461 (codons 1 through 645), a second DNA sequence comprised of base pairs 3833 through 4054 (codons 1103 through 1176) and a third DNA sequence comprised of base pairs 4140 through 4185 (the transcriptional terminator) as shown in FIGURES 2A and 2B to transform microbial host organisms.

18. Toxin peptides produced by recombinant

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host strains transformed to express the DNA sequences claimed in any of Claims 1 through 7.

19. Toxin peptides produced by the recombinant host strains claimed in any of Claims 8  
5 through 12.

20. Toxin peptides produced by recombinant host strains transformed by the recombinant plasmids claimed in any of Claims 13 and 14.

21. A method for increasing the amount of  
10 toxin peptide produced by a recombinant strain comprising utilizing a recombinant plasmid containing the DNA sequence of Claim 7 to transform microbial host organisms.

22. A plant transformed to express a  
15 polypeptide of ca. 73,000 M<sub>r</sub> having the immunological properties of the toxin portion of the crystal protein of Bacillus thuringiensis, wherein said plant is transformed by a vector containing an expressible DNA fragment having substantial sequence homology with the  
20 toxin-encoding DNA sequence beginning with base pair 527 and ending with base pair 2461 as shown in FIGURES 2A and 2B.

23. A plant transformed to express a polypeptide of ca. 73,000 M<sub>r</sub> having the immunological  
25 properties of the toxin portion of the crystal protein of Bacillus thuringiensis wherein said plant is transformed by a vector containing an expressible gene portion comprised of the amino terminal 55% of a  
Bacillus thuringiensis crystal protein gene as shown in  
30 FIGURES 2A and 2B.

FIG. 1-A

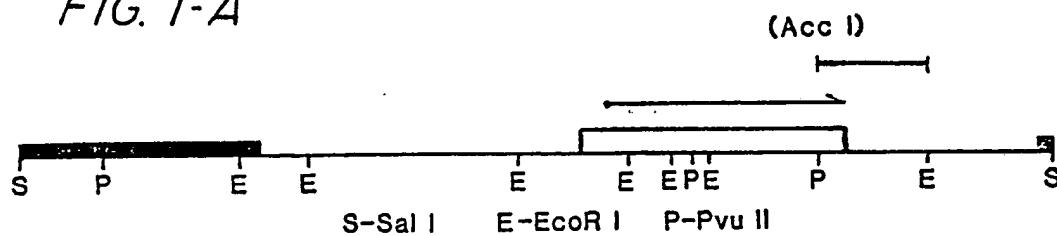


FIG. 3-A

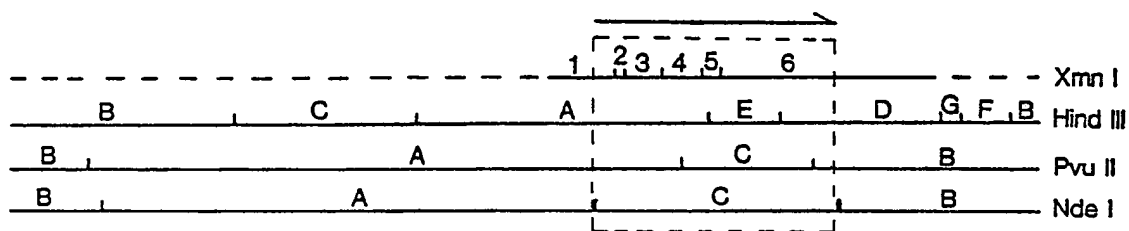
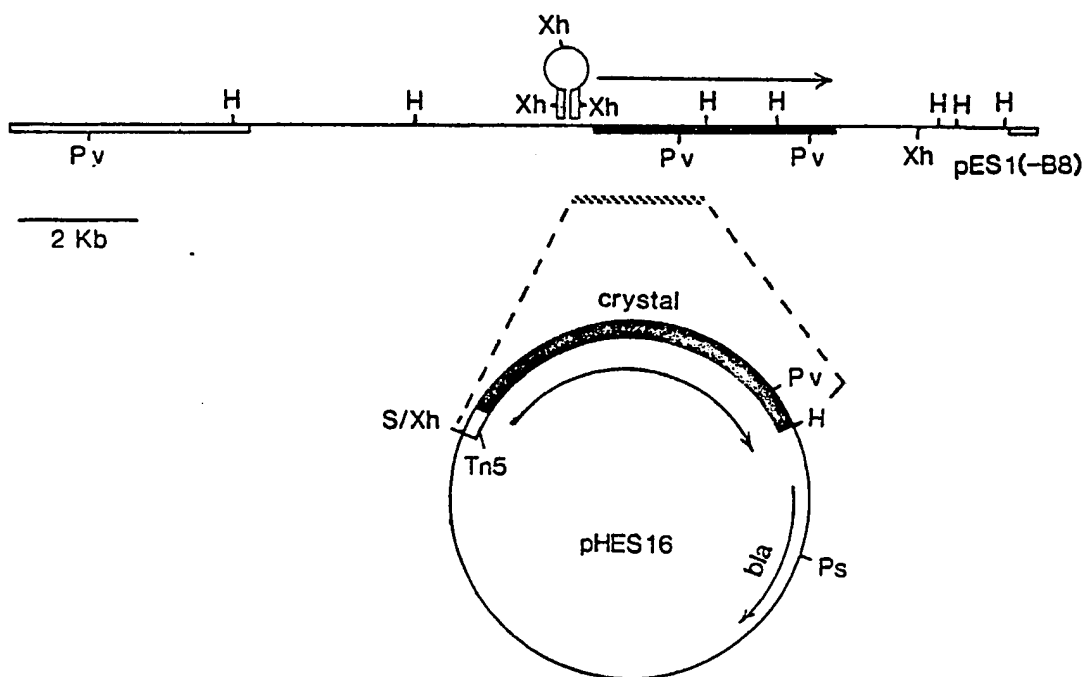


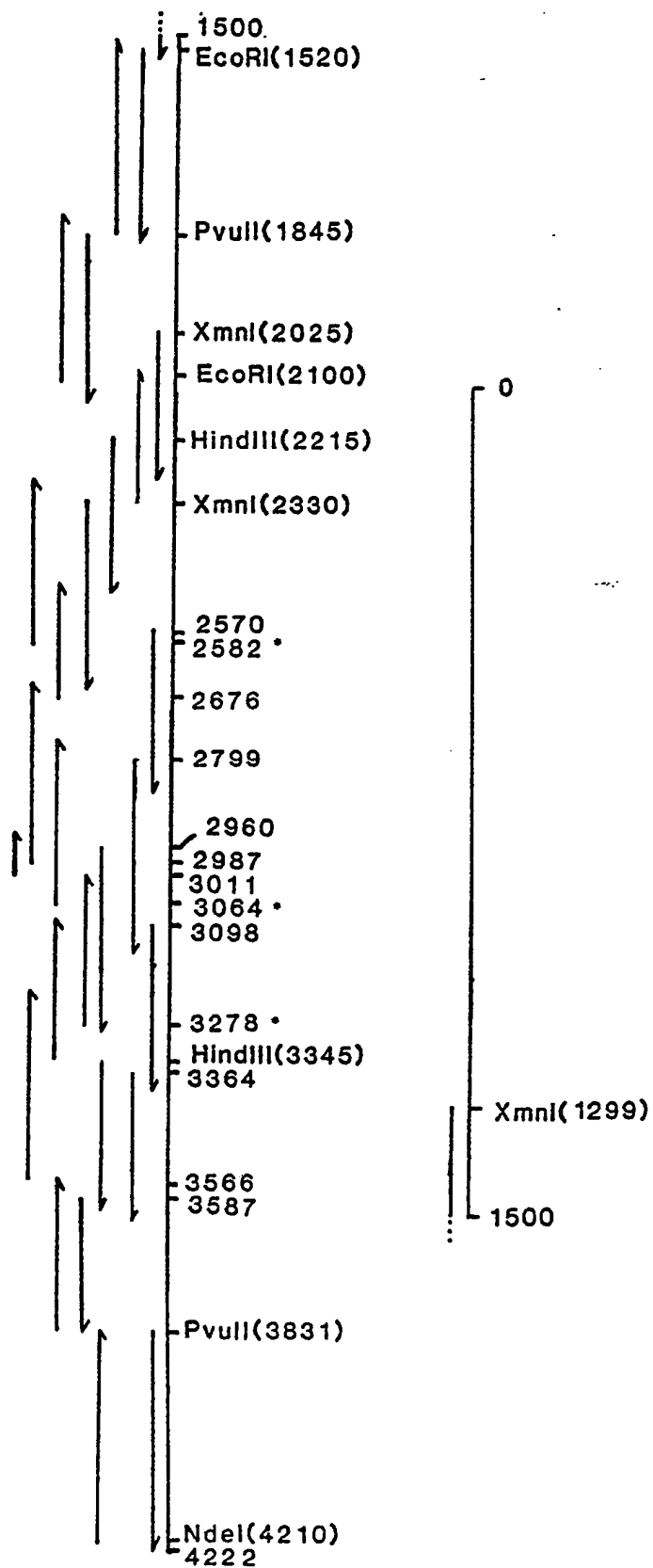
FIG. 3-B



H-HindIII Pv-PvuII Ps-PstI S-Sal I Xh-XhoI

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FIG. 1-B





## FIG. 2-A

50 100  
 GACTCTTCTATATTTACTTTGCCCGTAGACAAACGAAGTAAATATGTTAAATAGTGGTTGTATACAAACGAATTATGGGTCTATGGTTCTGCTAAAT  
 150 200  
 GGAATGATAAGATTAAAGCTGTAAAAAAGGGGAAGCATTTACAATTCAGATGAATTGAGTAAATGGTTCTAACATGTATAAGTGTAAAGTATTTCTA  
 250 300  
 CATTACCGCAAAATTTCTCAATTTGTATATGTAATAATAGGAAAGTGGATTATATATATAAGTATAAAAAAGTAAATAGACTTTAAAAATAGCTTAACGGAATA  
 350 400  
 CAAACCCCTTAATGCAATTTGGTTAAACATTTGTAAGTCTAAAGCATGATAATGGGCGAGAAAGTAAGTAGATTGTTAACACCCCTGGGTCAAAAATGATAT  
 450 500  
 TACTAAAAATTAAGTTCACCTTTTGTGCAATTTTTCATTAAGATGAGTCATATGTTTTAAATTTGTAGTAATGAAAACAGTATTATATCATATGAAATTGATAT  
 550 600  
 CTTAATAAAAGAGATGAGAGTAACTTATGATAAACAATCCGAACATCAATGAAATGCAATTCCTTATTAATTTTAAAGTAAACCCCTGAAGTAGAAGTATTAAG  
 650 700  
 TGGAGAAAAGATAGAACTGGTTACACCCCAATCGATATTTCTTCTGCTAACGCAATTTCTTTTGAAGTGAATTTGTTCCGGTGTGATTTGTTGTTA  
 750 800  
 GGACTAGTATATATATATGGAATTTTGGTCCCTCTCAATGGGACGCAATTTCTGTCACAAATGAAACAGTTAATTAACCAAGAAATGAGAAATTCG  
 850 900  
 CTAGGAACCAAGCCATTTCTAGATTAGAAAGCACTAAGCAATCTTATCAAAATTTACGCAAGATCTTTTGAAGAGTGGAAAGCAGATCTTACTAATCCAGC  
 950 1000  
 ATTAAGAGAAAGAGATGCTATTTCAATTCATGACATGAAAGTGGCTTACAAACCCCTATTTCTCTTTTGGCAGTTCAAAATTAATCAAGTTCTCTCTTTA  
 1050 1100  
 TCAATATATTTTCAAGCTGCAAAATTTACATTTATCAATTTTGAAGATGTTTCAATGTTTGAACAAAGTGGGATTTGATGCCCGCAGTATCAATAGTC  
 1150 1200  
 GTTAATATGATTTAACTAGGCTTATTTGGCACTATACAGATTTATGCTGTGCGCTGTACAAATACGGGATTTAGAGCTGTATGGGACCCGATTTCTAGAG  
 1250 1300  
 TTGGTAAAGGTATATCAATTTAGAGAGAGCTAACACTTACTGTATGATATCGTGTGCTTCTCTCAAAATTAATGATGTCGAAAGTATCCAAATTCGA  
 1350 1400  
 ACAGTTTCCCAATTAACAAAGAGAAATTTATACGAACCCAGTATTAAGAAATTTTGAATGATGTTTCTGTGAAATGGCTCAGAGAAATGACAAATATTA  
 1450 1500  
 GGCAACCAATCTTATGATATCTTAAATGATATAACCAATTTATACGATGTCATAGAGCTTTAATTAATGTCAGGCGATCAAAATTAACAGCTTTCTCC  
 1550 1600  
 TGTAGGTTTTCAGGACCAATTCGCAATTCCTTTTATTTGGGAATGCGGGAATGAGCTCCACCCGATCTTGTCTCATTAAGTGGTTTGGGATTTT  
 1650 1700  
 AGAACATTAATCTTACCTTTATATAGAAATTTATCTTGGTTCAAGCCCAATTAATCAGAACTGTTTGTCTTGTATGAAACGAGATTTTCTTTTGGCT  
 1750 1800  
 CCTTACCACTTCTTCCACTATATAGACAAAGGGGTACATGCAATTCATGATGTAATACCCCAAGGATTAATGTTTACCACTTCTCTGTC  
 1850 1900  
 GGGATTAAGGATTCGATGTCATTTTACAAATGCTGAGCCAGAGCTGAGCAATTTACAGCTTGAAGAGCTCCAAAGTTTCTTGGCAGCATCGCAAT  
 1950 2000  
 GCTGAATTTAATATATATATCTTCTTATCAGAAATTAACAAATCTACTAATCTTGGCTCTGGAATCTTCTGTTTAAAGGACCAAG  
 2050 2100  
 GATTTACAGGAGAGATATCTTCCAGAACTTCACTGCGGCAAGATTTCACTTAAAGATTAATATCTGACCAATTAACCAAGATTAATGTTTAAAG  
 2150 2200  
 AATTCGCTTACCTTCTACTACAAATTTCAATTCATACATCAATGACGAAAGACCTTATTAATCAGGCTAATTTTTCAGCAACTATGATGAGTGGAGAT  
 IleArgTyrAlaSerThrThrAsnLeuGlnPheHisThrSerPheAspGlyArgProIleAsnGlnGlyAsnPheSerAlaThrMetSerSerGlySer

## FIG. 2-B

2250 2300  
AATTACAGTCCGGAAGCTTTAGCACTGTAGCTTTTACTCTCCCTTAACTTTTCAAATGGAATCAAGTGTATTTACGTTAAAGTCTCATGTCTTCAATT  
AsnLeuGlnSerGlySerPheArgThrValGlyPheThrThrProPheAsnPheSerAsnGlySerSerValPheThrLeuSerAlaHisValPheAsnSer

2350 2400  
CAAGCAATGAAGTTTATATAGATCGAATTGAATTTGTCGCGCAGAGTAAGCTTTGAGGAGCAATATGTTTGAAGAGAGCACAAGCGGTGAATGA  
GlyAsnGluValTyrIleAspArgIleGluPheValProAlaGluValThrPheGluAlaGluTyrAspLeuGluArgAlaGlnLysAlaValAsnGlu

2450 2500  
GCTGTTTACTTCTTCCAATCAAATCGGCTTAAAAACAGATGTGACGGAATTATCATATTGATCAAATATGCAATTTAGTGAAGTGTATTCAGATGAATTT  
LeuPheThrSerSerAsnGlnIleGlyLeuLysThrAspValThrAspTyrHisIleAspGlnValSerAsnLeuValGluCysLeuSerAspGluPhe

2550 2600  
TGTCTGATGAAAAACAGAAATTGTCGAGAAAGTCAACATGCGAAGCGACTTGTGATGAGCGGAATTTACTTCAAGATCCAACTTCAGAGGATCA  
CysLeuAspGluLysGlnGluLeuSerGluLysValLysHisAlaLysArgLeuSerAspGluArgAsnLeuLeuGlnAspProAsnPheArgGlyIleAsn

2650 2700  
ATAGACAACTAGACCGTGGCTGGAAGAGAACTACGGATATTACCATGCCAAGAGCGGATGAGCTATTCAAGAGAAATTAAGCTTACGCTATTGCGTACCTT  
ArgGlnLeuAspArgGlyTyrArgGlySerThrAspIleThrIleGlnGlyGlyAspAspValPheLysGluAsnTyrValThrLeuLeuGlyThrPhe

2750 2800  
TGATGAGTGTATCCCACTATTATATCAAAAAATAGATGAGTGAATTTAAAAAGCTTATCCCGTTATCAATTAAGAGGATATATCGAAGATGATCA  
AspGluCysTyrProThrTyrLeuTyrGlnLysIleAspGluSerLysLeuLysAlaTyrThrArgTyrGlnLeuArgGlyTyrIleGluAspSerGln

2850 2900  
GACTTGAAGTCTATTTAATTCCCTACAAATGCAAAACATGAAACAGTAAATGTGCGAAGTACCGGTTCTTATGCGCGCTTTCAGCCCAAGTCCAAATCG  
AspLeuGluIleTyrLeuIleArgTyrAsnAlaLysHisGluThrValAsnValProGlyThrGlySerLeuTyrProLeuSerAlaGlnSerProIleGly

2950 3000  
GAAAGTGTGAGAGCCCAATCGATGCGCGCCACACCTTGAAATGGAATCTGACTTAGATTGTTCTGTGTAGAGATGAGAGAAAGTGTGCCCATTCATTCGCA  
LysCysGlyGluProAsnArgCysAlaProHisLeuGluTyrAsnProAspLeuAspCysSerCysArgAspGlyGluLysCysAlaHisHisSerHis

3050 3100  
TCATTTCTCTTGAACATTGATGTAGATGTACAGCTTAAATGAGACCTAGGTGTATGGGTGATCTTTAAGATTAAAGACCGAAGATGGCACGCAAGAG  
HisPheSerLeuAspIleAspValGlyCysThrAspLeuAsnGluAspLeuGlyValTyrPheValIlePheLysIleLysThrGlnAspGlyHisAlaArg

3150 3200  
CTAGGGAATCTAGAGTTTCTGAAAGAGAAACCATTAAGTAGAGAGAGCGCTAGCTGTGTGAAAAAGCGGAGAGAAAAATGAGAGACAAACGTGAAAAAT  
LeuGlyAsnLeuGluPheLeuGluGluLysProLeuValGlyGluAlaLeuAlaArgValLysArgAlaGluLysLysTyrArgAspLysArgGluLysLeu

3250 3300  
TGAATGCGAAACAAATATCTTTATAAAGAGCGAAGAAATCTGTAGATGCTTTATTTGTAACCTCTCAATATGATCAATTACAAGCGGATACGAATAT  
GluTyrGluThrAsnIleValTyrLysGluAlaLysGluSerValAspAlaLeuPheValAsnSerGlnTyrAspGluLeuGlnAspThrAsnIle

3350 3400  
TGCCATGATTCATCGCGCAGATAAACGTGTCATAGCATTGAGAGAGCTTATCTGCTGAGCTGTGTGATTCGCGGTGCAATGCGGCTATTTTTCGA  
AlaMetIleHisAlaAlaAspLysArgValHisSerIleArgGluAlaTyrLeuProGluLeuSerValIleProGlyValAsnAlaAlaIlePheGlu

3450 3500  
GAATTAAGAGGCGGTATTTTCACTGCTATCTCCCTATATGATGCGAGAAATGTCATTAAATGATGATTTTAAATATGCTTATCTGCTGGAACGTGA  
GluLeuGluGlyArgIlePheThrAlaPheSerLeuTyrAspAlaArgAsnValIleLysAsnGlyAspPheAsnAsnGlyLeuSerCysTyrAsnValLys

3550 3600  
AAGGCACTGTAGATGTAGAGAGAAACAAACACCAACGTTCCGCTTGTGCTTCCGGAATGCGAAGCAAGAGTGTACAAAGAGTTCGTGTCTGTCGCGG  
GlyHisValAspValGluGlnGlnAsnAsnGlnArgSerValLeuValLeuProGluTyrGluAlaGluValSerGlnGluValArgValCysProGly

3650 3700  
TCGTGCTATATCTTCTGTGTACAGCGTACAGAGGAGGATATGAGAGAGGTTGCGTAACCATTCATGAGATCGAGAGCAATACAGAGCAACTGTAAGTTT  
ArgGlyTyrIleLeuArgValThrAlaTyrLysGluGlyTyrGlyGluCysValThrIleHisGluIleGluAsnAsnThrAspGluLeuLysPhe

3750 3800  
AGCAACTGCTGAGAGAGAGAAATCTATCCAAATAACACGTTAACGTGTAATGATTTATCTGTAAATCAAGAGAAATACGAGAGTGCCTACTTCTGT  
SerAsnCysValGluGluGluIleTyrProAsnAsnThrValThrCysAsnAspTyrThrValAsnGlnGluGluTyrGlyGlyAlaTyrThrSerArgAsn

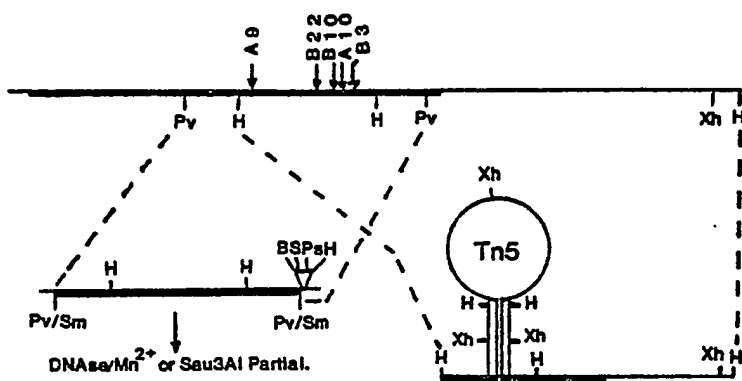
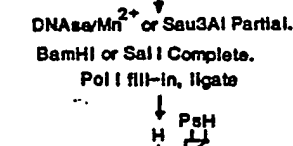
3850 3900  
ATCGAAGGATATACGAAAGCTCTTCCCTACCACTGATTAATGCGTCACTATGAGAGAAATCGTATACAGATGAGAGAGAGAAATCTTGTGAATT  
ArgGlyTyrAsnGluAlaProSerValProAlaAspTyrAlaSerValTyrGluGluLysSerTyrThrAspGlyArgArgGluAsnProCysGluPhe

3950 4000  
TAACAGAGGTTATAGGATTAACAGCCACTACCACTGATTAATGAGAGAAATAGAAATCTTCCAGAAACCGATAGAGTATGAGATTAAGATTCGA  
AsnArgGlyTyrArgAspTyrThrProLeuProValGlyTyrValThrLysGluLeuGluTyrPheProGluThrAspLysValTyrIleGluIleGly

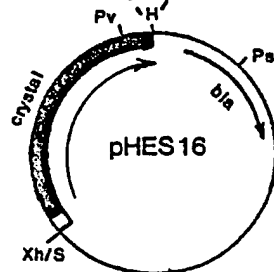
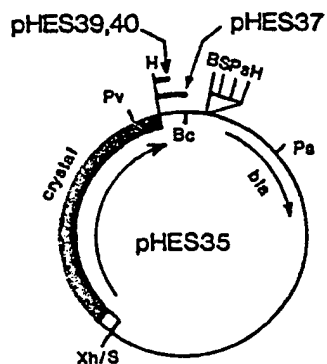
4050 4100  
GAAACGAGAGGAAATTTATCTGAGAGAGCTGGAATTAATCTTATGAGAGAAATAGCTCTCATGCAAACTCAGGTTTAAATATCGTTTTTAAATCAATTG  
GluThrGluGlyThrPheIleValAspSerValGluLeuLeuLeuMetGluGlu

4150 4200  
TCCAAGAGAGCATTACAAATAGATATAGTAAATTTGTTGTAATGAAAAACGGACATCACTTCCATTGAAACGAGTGTGTCGGTTTTACTATGTTATTTT

CTAGTAATACATATGTATAGAG

FIG.  
4-AFIG.  
4-BFIG.  
4-C

pHES34,35,36,(38)      pHES32,23,25,33,30

FIG.  
4-DFIG.  
4-E

B-BamHI S-Sal I H-HindIII Pv-PvuII Ps-PstI Bc-Bcl I Xh-XhoI Sm-SmaI



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FIG. 5-B

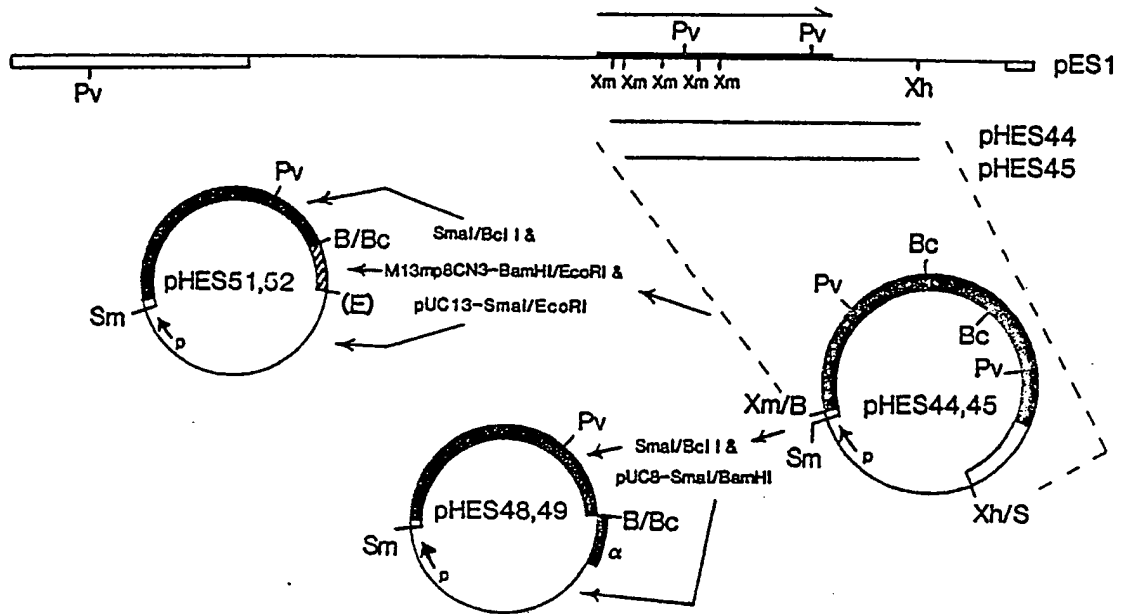
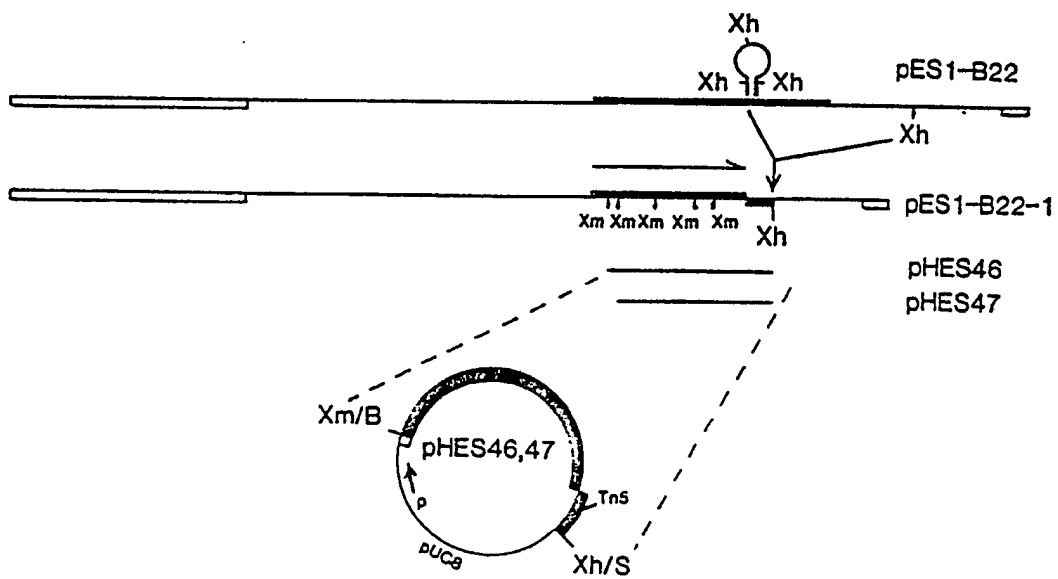


FIG. 5-C



B-BamHI Bc-Bcl I E-EcoRI N-NdeI Pv-PvuII S-Sal I Sm-SmaI Xh-XhoI Xm-XmnI

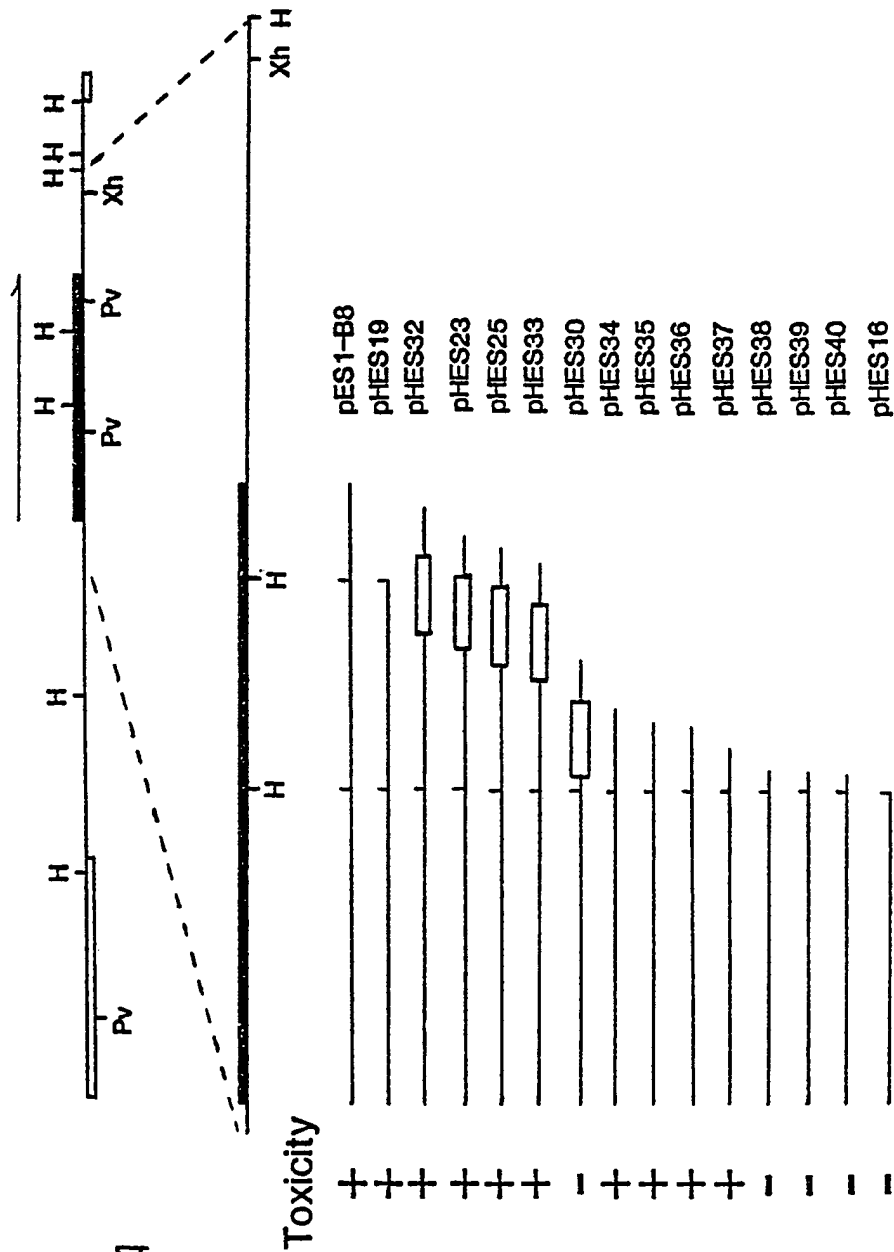


FIG. 7-B

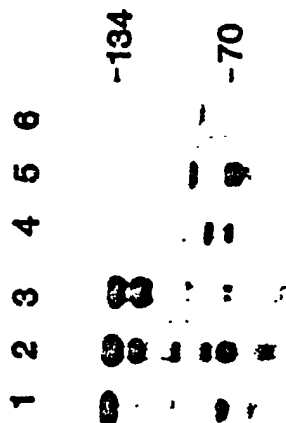


FIG. 7-C

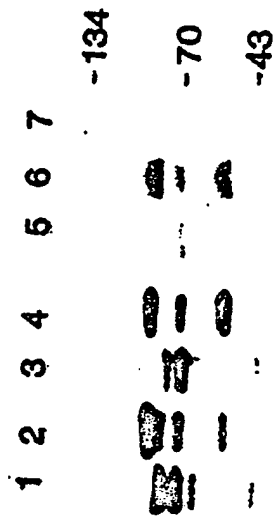


FIG. 6-B

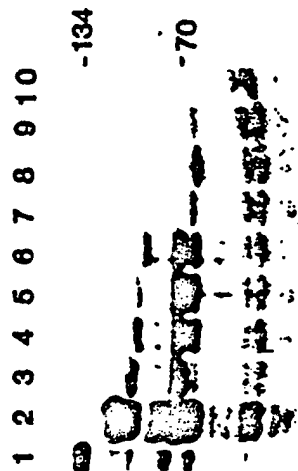
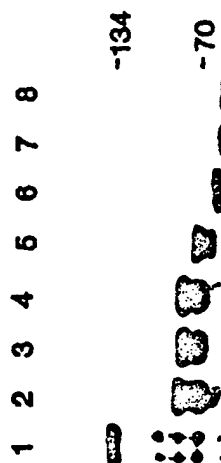
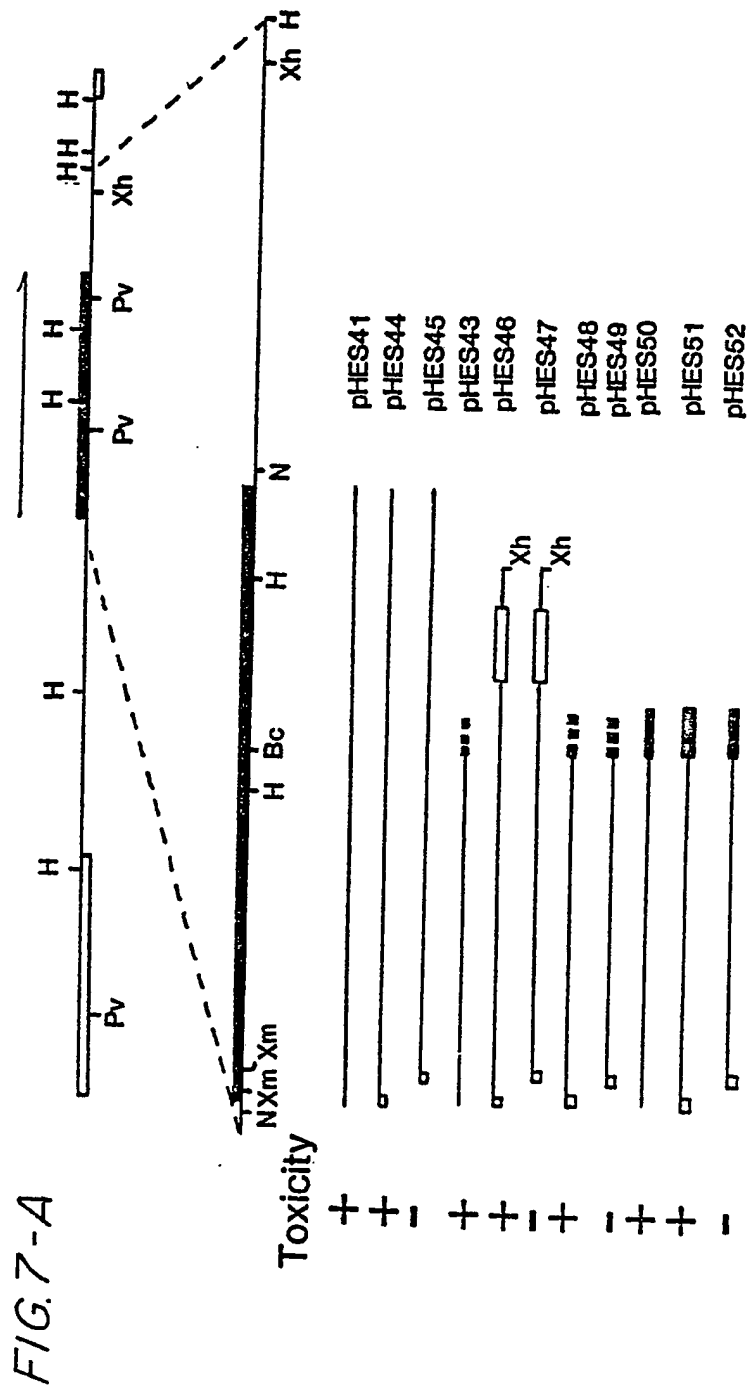


FIG. 6-C







## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

Y	N	Gene v 19, 1982, Vieira et al "The pUC plasmids, an M13 mp7-derived system for insertion mutagenesis and sequencing with synthetic universal primers" p 259-268	4, 5, 6
Y	N	Proc Natl Acad Sci v 79, October, 1982 Held et al "Cloning and localization of the lepidopteran protoxin gene of <u>Bacillus thuringiensis</u> subsp <u>Kurstaki</u> " p 6065-6069	1-21

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE <sup>10</sup>

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☒ Claim numbers 22-23 because they relate to subject matter <sup>12</sup> not required to be searched by this Authority, namely:

a plant

2. ☐ Claim numbers \_\_\_\_\_, because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out <sup>13</sup>, specifically:

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING <sup>11</sup>

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

## Remark on Protest

- ☐ The additional search fees were accompanied by applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US85/01665

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>3</sup> According to International Patent Classification (IPC) or to both National Classification and IPC <sup>4</sup> <div style="margin-top: 10px;">C12N 15/00; C12P 11/00; C12N 1/00</div>																	
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; margin-top: 10px;">Minimum Documentation Searched <sup>5</sup></div> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <th style="width: 25%;">Classification System</th> <th style="width: 75%;">Classification Symbols</th> </tr> <tr> <td style="height: 40px; vertical-align: top; text-align: center;">US</td> <td style="vertical-align: top;">435/172.3; 68; 317</td> </tr> </table> <div style="text-align: center; margin-top: 10px;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>6</sup></div>			Classification System	Classification Symbols	US	435/172.3; 68; 317											
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Lexis- attached "Bacillus thuringiensis"																	
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <th style="width: 10%;">Category <sup>7</sup></th> <th style="width: 60%;">Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup></th> <th style="width: 30%;">Relevant to Claim No. <sup>18</sup></th> </tr> <tr> <td style="vertical-align: top;">P, Y</td> <td style="vertical-align: top;">N J. Biological Chemistry v 260 (19), May 25, 1985, Schnepf et al, "Delineation of a Toxin encoding Segment of a <u>Bacillus thuringiensis</u> Crystal Protein Gene pp 6273-80</td> <td style="vertical-align: top;">1-21</td> </tr> <tr> <td style="vertical-align: top;">P, Y</td> <td style="vertical-align: top;">N J. Biological Chemistry v 260 (10), May 25, 1985, Schnepf et al "The Amino Acid Sequence of a Crystal Protein from <u>Bacillus thuringiensis</u> deduced from the DNA Base Sequence" pp 6264-72</td> <td style="vertical-align: top;">1-21</td> </tr> <tr> <td style="vertical-align: top;">Y</td> <td style="vertical-align: top;">N Proc Natl Acad Sci v 78 (5) May, 1981 Schnepf et al "Cloning and expression of the <u>Bacillus thuringiensis</u> Crystal protein gene in E. coli" pp 2893-97</td> <td style="vertical-align: top;">1-21</td> </tr> <tr> <td style="vertical-align: top;">Y</td> <td style="vertical-align: top;">N J. Biol. Chem. v 258 (3), Feb. 10, 1983, Wong et al "Transcription and Translational Start Sites for the <u>Bacillus thuringiensis</u> Crystal Protein Gene" p 1960-1967.</td> <td style="vertical-align: top;">1-21</td> </tr> </table>			Category <sup>7</sup>	Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>	P, Y	N J. Biological Chemistry v 260 (19), May 25, 1985, Schnepf et al, "Delineation of a Toxin encoding Segment of a <u>Bacillus thuringiensis</u> Crystal Protein Gene pp 6273-80	1-21	P, Y	N J. Biological Chemistry v 260 (10), May 25, 1985, Schnepf et al "The Amino Acid Sequence of a Crystal Protein from <u>Bacillus thuringiensis</u> deduced from the DNA Base Sequence" pp 6264-72	1-21	Y	N Proc Natl Acad Sci v 78 (5) May, 1981 Schnepf et al "Cloning and expression of the <u>Bacillus thuringiensis</u> Crystal protein gene in E. coli" pp 2893-97	1-21	Y	N J. Biol. Chem. v 258 (3), Feb. 10, 1983, Wong et al "Transcription and Translational Start Sites for the <u>Bacillus thuringiensis</u> Crystal Protein Gene" p 1960-1967.	1-21
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<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>15</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the International filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the International filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"G" document member of the same patent family</p> </div> </div>																	
<b>IV. CERTIFICATION</b> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <td style="width: 50%; padding: 5px;">           Date of the Actual Completion of the International Search <sup>1</sup>  <div style="text-align: center; margin-top: 10px;">10/22/85</div> </td> <td style="width: 50%; padding: 5px;">           Date of Mailing of this International Search Report <sup>2</sup>  <div style="text-align: center; margin-top: 10px;">26 NOV 1985</div> </td> </tr> <tr> <td style="width: 50%; padding: 5px;">           International Searching Authority <sup>1</sup>  <div style="text-align: center; margin-top: 10px;">PTO/US</div> </td> <td style="width: 50%; padding: 5px;">           Signature of Authorized Officer <sup>20</sup>  <div style="text-align: center; margin-top: 10px;"> </div> </td> </tr> </table>			Date of the Actual Completion of the International Search <sup>1</sup> <div style="text-align: center; margin-top: 10px;">10/22/85</div>	Date of Mailing of this International Search Report <sup>2</sup> <div style="text-align: center; margin-top: 10px;">26 NOV 1985</div>	International Searching Authority <sup>1</sup> <div style="text-align: center; margin-top: 10px;">PTO/US</div>	Signature of Authorized Officer <sup>20</sup> <div style="text-align: center; margin-top: 10px;"> </div>											
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